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| Hakija<br>Applicant | **Nokia Telecommunications Oy**<br>**Helsinki** |
| Patenttihakemus nro<br>Patent application no | **19991994** |
| Tekemispäivä<br>Filing date | **17.09.1999** |
| Kansainvälinen luokka<br>International class | **H04B** |
| Keksinnön nimitys<br>Title of invention | **"Power estimation method"**<br>**(Tehonarviointimenetelmä)** |

10/070849

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**Power estimation method**
**Tehonarviointimenetelmä**
**Förfarande för bedömning av effekt**

BACKGROUND OF THE INVENTION

1. Field of the Invention

The invention is related to control of transmissions in spread spectrum radio systems, more accurately to estimating transmission power increases caused by new transactions in the system. Specifically the invention is related to such a method as described in the preamble of the independent method claim.

2. Description of Related Art

In cellular telecommunication systems a single speech connection or data connection through the cellular telecommunication network is called a bearer. Generally, a bearer is associated with a set of parameters pertaining to data communication between a certain terminal equipment and a network element, such as a base station or an interworking unit (IWU) connecting the cellular network to another telecommunications network. The set of parameters associated with a bearer comprises typically for example data transmission speed, allowed delays, allowed bit error rate (BER), and the minimum and maximum values for these parameters. A bearer may further be a packet transmission bearer or a circuit switched bearer and support for example transparent or non-transparent connections. A bearer can be thought of as a data transmission path having the specified parameters connecting a certain mobile terminal and a certain network element for transmission of payload information. One bearer always connects only one mobile terminal to one network element. However, a bearer can pass through a number of network elements. One mobile communication means (ME, Mobile Equipment) may in some cellular telecommunication systems support one bearer only, in some other systems also more than one simultaneous bearers.

In order to be able to transmit information in a desired way, connections over the radio interface have to obtain a desired level of quality. The quality can be expressed for example as the C/I i.e. Carrier to Interference ratio, which indicates the ratio of received carrier wave power to received interfering power. Other

measures for the quality of a connection are SIR i.e. Signal to Interference ratio, S/N i.e. Signal to Noise ratio, and S/(I+N) i.e. Signal to Noise plus Interference ratio. The bit error rate (BER) or frame error rate (FER) are also used as measures of connection quality. Typically, a certain target level for one of these or other corresponding measures is determined beforehand, and for each connection, the transmission power is adjusted to be such that the target level is reached as closely as possible. The transmission power should not be higher than what is necessary for obtaining the desired target level, since a too high transmission level wastes electrical energy in the transmitting equipment, which is crucial with handheld mobile stations, and causes interference to other connections.

Admission control is a crucial function in ensuring, that each bearer obtains the desired SIR level. The purpose of admission control is to examine each new request for a new bearer, and determine whether the requested service can be provided without degrading the service to other bearers, taking into account the transmission power of the requested bearer. If the new bearer can be serviced without harming other bearers, the request is admitted. Admission control typically co-operates with power control, whereby the transmission power of some of the other bearers may be adjusted in order to guarantee the SIR target level of the other bearers.

Various admission control algorithms have been proposed in the past. The article "SIR-Based Call Admission Control for DS-CDMA Cellular Systems" by Zhao Liu and Magda El Zarki, I2 Journal on selected areas in communications, vol. 12, no. 4, pp. 638-644, May 1994, describes an algorithm based on the concept of residual capacity. Residual capacity is defined as the additional number of initial calls a base station can accept. If the residual capacity is larger than zero, new calls are admitted. The residual capacity is determined from measured SIR levels and a treshold SIR level.

Another algorithms are described in the article "Call Admission in Power Controlled CDMA Systems" by Ching Yao Huang and Roy D. Yates, in proceedings of I2 VTS 46th Vehicular Technology Conference, April 28 - May 1, 1996, Atlanta, USA, pp. 1665-1669. In this article, two simple algorithms are presented. In the first algorithm, a new call is blocked when that new call would cause ongoing calls to transmit at maximum power. In the second algorithm, a new call is blocked if the total received power measured at the base station exceeds a predetermined treshold.

These algorithms function well, when the calls i.e. bearers are relatively similar in terms of resource usage, and any admission tresholds are set to a level where the admission of a bearer does not increase the load too near to the maximum capacity. However, these algorithms do not function well, when the bearers have widely varying properties, i.e. when the network needs to handle both low bit rate bearers such as normal speech bearers, and high bit rate bearers such as high-capacity data bearers or live video bearers. Such a variety of services will be provided for example by the UMTS cellular telecommunication system presently under development. For example, in the conventional algorithm in which a new call is allowed if the total received power measured at the base station is under a predetermined treshold, a high bit rate bearer may increase the network load too near to the maximum capacity. This can be prevented by lowering the treshold so that any high rate bearers allowed close to the treshold still do not increase the total load too much, but in that case, the low bit rate speech bearers end up being refused even if the remaining capacity could accommodate them.

A further admission control approach is to limit the admission by the amount of hardware or, e.g., by the number of connections or by the number of transmitted bits. If we consider admission control schemes that operate for each cell separately, these non-interference based schemes take into account the loading only in the own cell while with an interference based scheme the loading in the adjacent cells can be directly seen in the interference measurements.

## SUMMARY OF THE INVENTION

An object of the invention is to realize a method for estimating the interference power increase due to a new transaction in a spread spectrum telecommunication system. A further object of the invention is to provide such a method, which is able to provide more accurate estimates than the prior art methods.

The objects are reached by estimating the interference power increase at least partly on the basis of the current fractional load, the current received interference power level, and a load factor $\Delta L$, which is calculated essentially on the basis of the chiprate, the bitrate of the new transaction, and the estimated required signal-to-interference ratio for the service type of the new transaction.

The interference power increase estimation method according to the invention is characterized by that, which is specified in the characterizing part of the

independent interference power increase estimation method claim. The admission control method according to the invention is characterized by that, which is specified in the characterizing part of the independent admission control method claim. The packet scheduling method according to the invention is characterized by that, which is specified in the characterizing part of the independent packet scheduling method claim. The system according to the invention is characterized by that, which is specified in the characterizing part of the independent claim directed to a system. The network element according to the invention is characterized by that, which is specified in the characterizing part of the independent claim directed to a network element. The dependent claims describe further advantageous embodiments of the invention.

According to the invention, the estimate of interference power increase due to a new transaction is calculated at least partly on the basis of the current fractional load, the current received interference power level, and a load factor $\Delta L$, which is calculated essentially as

$$\Delta L = \frac{1}{1 + \frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate of the new transaction, and $SIR$ is the estimated required signal-to-interference ratio for the service type of the new transaction.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention is described in more detail in the following with reference to the accompanying figure 1, which illustrates a method according to an advantageous embodiment of the invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

A. A FIRST ADVANTAGEOUS EMBODIMENT OF THE INVENTION

According to an important aspect of the invention, the interference power increase due to a new transaction in a radio access network of a spread spectrum telecommunications network is estimated as described below.

The current received total interference power of a base station, $P_{rx\_total}$, divides into the interference from the intra-cell users, $P_{rx\_own}$, inter-cell users, $P_{rx\_oth}$, and system noise, $P_N$, which is the interference power of the unloaded system (no interference from this carrier). Moreover, the total power can also be expressed as the sum of the non-controllable power, $P_{rx\_nc}$, and the controllable power of non-real time users, $P_{rx\_nrt}$:

$$\begin{aligned} P_{rx\_total} &= P_{rx\_own} + P_{rx\_oth} + P_N \\ &= P_{rx\_nc} + P_{rx\_nrt} \end{aligned} \tag{1}$$

The non-controllable power, $P_{rx\_nc}$, consists of the powers of real time users, inter-cell users, and noise. Packet scheduler allocates the controllable power, $P_{rx\_nrt}$, to the packet users. Admission control estimates the increase in the total power due to the new user. Eq. (1) can be transformed into the form:

$$\begin{aligned} P_{rx\_total} &= P_{rx\_own} + \frac{P_{rx\_oth}}{P_{rx\_own}} P_{rx\_own} + P_N \\ &= (1+i) \cdot P_{rx\_own} + P_N \\ &= \frac{P_{rx\_own}}{F} + P_N \\ &= \frac{P_{rx\_total} - P_N}{P_{rx\_total}} \cdot P_{rx\_total} + P_N \\ &= \eta \cdot P_{rx\_total} + P_N \end{aligned} \tag{2}$$

where the ratio of the received inter-cell to intra-cell powers $i$ can be described with

$$\begin{aligned} & i = \frac{P_{rx\_oth}}{P_{rx\_own}} = \frac{P_{rx\_total} - P_{rx\_own} - P_N}{P_{rx\_own}} \Leftrightarrow \\ & i = \frac{1}{F} - 1 = \frac{1-F}{F} \end{aligned} \tag{3}$$

and where

$$F = \frac{P_{rx\_own}}{P_{rx\_total} - P_N} \tag{4}$$

and

$$P_{rx\_own} = \sum_{i=1}^{M} \frac{1}{1+\dfrac{W}{SIR_i \cdot R_i}} \tag{5}$$

is the intra-cell total interference power where $SIR_i$ is the signal-to-interference ratio of the $i^{th}$ user, $R_i$ is the bitrate of the $i^{th}$ user, $W$ is the chiprate and $M$ is the number of intra-cell active users.

$$P_{rx\_oth} = i \cdot P_{rx\_own} \tag{6}$$

is the inter-cell interference power, and thus, the total uplink interference power can be calculated as follows

$$\begin{aligned} P_{rx\_total} &= (1+i) \cdot P_{rx\_own} + P_N \\ &= (1+i) \cdot \sum_{i=1}^{M} \frac{1}{1+\dfrac{W}{SIR_i \cdot R_i}} + P_N \end{aligned} \tag{7}$$

From Eq. (2) the total received power can be solved as

$$P_{rx\_total} = \frac{P_N}{1-\eta} \tag{8}$$

The noise rise, $NR$, which can be measured by the base station, is defined as the ratio of the total received power to the system noise,

$$NR = \frac{P_{rx\_total}}{P_N} = \frac{1}{1-\eta} \tag{9}$$

The value of $\eta$ is obtained as

$$\eta = \frac{NR-1}{NR} \tag{10}$$

which is called the fractional load. The fractional load $\eta$ is normally used as the uplink load indicator. For example, if the uplink load is said to be 60% of the whole capacity, it means that the fractional load $\eta = 0.60$.

The uplink interference power $I_{total}$ increases when the fractional load $\eta$ increases. The coverage area will shrink if the fractional load increases too much. Therefore, the admission control and load control algorithms are used.

From Eqs. (8) – (10) the actual derivative power increase estimates can be calculated as follows:

$$\begin{aligned}
\frac{dP_{rx_total}}{d\eta} &= \frac{d}{d\eta}\left(\frac{P_N}{1-\eta}\right) \Leftrightarrow \\
\frac{dP_{rx_total}}{d\eta} &= \frac{P_N}{(1-\eta)^2} \Leftrightarrow \\
\frac{dP_{rx_total}}{d\eta} &= \frac{P_N}{\left(1-\frac{P_{rx_total}-P_N}{P_{rx_total}}\right)^2} \Leftrightarrow \\
\frac{dP_{rx_total}}{d\eta} &= \frac{P_{rx_total}{}^2}{P_N} \Leftrightarrow \\
\frac{dP_{rx_total}}{d\eta} &= \frac{1}{1-\eta} P_{rx_total} \\
\Rightarrow \Delta P_{rx_total} &\approx \frac{dP_{rx_total}}{d\eta} \Delta L \Leftrightarrow \\
\Delta P_{rx_total} &\approx \frac{\Delta L}{1-\eta} P_{rx_total}
\end{aligned} \qquad (11)$$

where

$$\Delta L = \frac{1}{1+\frac{W}{SIR \cdot R}} \qquad (12)$$

$\Delta L$ is the load factor of the new transaction under consideration, $W$ is the chiprate, $R$ is the bitrate of the new transaction and $SIR$ is the estimated required signal-to-interference ratio for the transaction.

B. A SECOND ADVANTAGEOUS EMBODIMENT OF THE INVENTION

According to a further advantageous embodiment of the invention, the uplink power increase can be estimated as follows:

$$
\begin{aligned}
P_{rx\_total} &= \frac{P_N}{1-\eta} \\
\Rightarrow \frac{dP_{rx\_total}}{d\eta} &= \frac{P_N}{(1-\eta)^2} \Leftrightarrow \\
\Delta P_{rx\_total} &= \int_{\eta}^{\eta+\Delta L} dP_{rx\_total} \Leftrightarrow \\
\Delta P_{rx\_total} &= \int_{\eta}^{\eta+\Delta L} \frac{P_N}{(1-\eta)^2} d\eta \Leftrightarrow \\
\Delta P_{rx\_total} &= \frac{P_N}{1-\eta-\Delta L} - \frac{P_N}{1-\eta} \Leftrightarrow \\
\Delta P_{rx\_total} &= \frac{\Delta L}{1-\eta-\Delta L} \frac{P_N}{1-\eta} \Leftrightarrow \\
\Delta P_{rx\_total} &= \frac{\Delta L}{1-\eta-\Delta L} P_{rx\_total}
\end{aligned}
\tag{13}
$$

C. A THIRD ADVANTAGEOUS EMBODIMENT OF THE INVENTION

In a further advantageous embodiment of the invention, multiuser detection is used to cancel at least some of the effect of intra-cell interference. The uplink power increase estimation method with multiuser detection can be calculated as follows:

$$
\Delta P_{rx\_total} = \frac{(1-\beta)\cdot \Delta L}{1-\eta-(1-\beta)\cdot \Delta L} P_{rx\_total} \tag{14}
$$

where $\beta$ is the efficiency of the multiuser detection i.e. the percentage of the intra-cell interference cancelled by the multiuser detector. When $\beta$ equals 1, the of uplink intra-cell interference is perfectly cancelled, i.e. intra-cell users are perfectly orthogonal, and when $\beta$ equals 0 no uplink multiuser detection is performed, i.e. the receiver is in effect a basic Rake receiver.

D. A FOURTH ADVANTAGEOUS EMBODIMENT OF THE INVENTION

Figure 1 illustrates a method according to an advantageous embodiment of the invention. The method is used for deciding, if resources can be allocated for a new transaction. The transaction can be for example a new connection or the transmission of a new packet of data. The method can be applied in spread spectrum telecommunication systems.

According to the method, the current received interference power is measured 100 at a receiver, whereafter the interference power increase due to a new requested connection is estimated 200. Next, it is checked 300 if the total interference power, i.e. the sum of the measured interference level and the estimated increase is below a treshold. If the total is below the treshold, resources are allocated 400 for the transaction. If the total is not below the treshold, resources are not allocated 500 for the transaction.

## E. A FIFTH ADVANTAGEOUS EMBODIMENT OF THE INVENTION

In a further advantageous embodiment of the invention, the previously described interference power increase estimation method is used in admission control, i.e. the transaction mentioned previously is a new requested connection. According to the present embodiment, the admission control method in a spread spectrum cellular telecommunication system comprises steps in which

- the current received interference power is measured at a receiver,
- the interference power increase due to a new requested connection is estimated at least partly on the basis of current fractional load, current received interference power level, and load factor $\Delta L$, which is calculated essentially as

$$\Delta L = \frac{1}{1+\frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate of the new connection, and $SIR$ is the estimated required signal-to-interference ratio for the service type of the new connection,

- the sum of said current received interference power and said interference power increase is compared to a treshold, and
- resources are allocated for the new requested connection, if said sum is smaller than said treshold.

According to a further aspect of the invention, the interference power increase estimate $\Delta P_{rx\_total}$ can be calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1-\eta-\Delta L} P_{rx\_total}$$

where $\eta$ is the current fractional load.

According to a still further aspect of the invention, the interference power increase estimate $\Delta P_{rx\_total}$ can be calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1-\eta} P_{rx\_total}$$

where $\eta$ is the current fractional load.

F. A SIXTH ADVANTAGEOUS EMBODIMENT OF THE INVENTION

In a further advantageous embodiment of the invention, the previously described interference power increase estimation method is used in packet scheduling, i.e. the transaction mentioned previously is the transmission of a new packet of data. According to the present embodiment, the method for scheduling data packets in a spread spectrum cellular telecommunication system comprises steps in which

- the current received interference power is measured at a receiver,
- the interference power increase due to a transmission of a new packet is estimated at least partly on the basis of current fractional load, current received interference power level, and load factor $\Delta L$, which is calculated essentially as

$$\Delta L = \frac{1}{1 + \frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate which will be used in transmission of the packet, and $SIR$ is the estimated required signal-to-interference ratio for the succesful transmission and reception of the packet,
- the sum of said current received interference power and said interference power increase is compared to a treshold, and
- resources are allocated for the transmission of the packet, if said sum is smaller than said treshold.

According to a further aspect of the invention, the interference power increase estimate $\Delta P_{rx\_total}$ can be calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1-\eta-\Delta L} P_{rx\_total}$$

where $\eta$ is the current fractional load.

According to a still further aspect of the invention, the interference power increase estimate $\Delta P_{rx\_total}$ can be calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1-\eta} P_{rx\_total}$$

where $\eta$ is the current fractional load.

## G. A SEVENTH ADVANTAGEOUS EMBODIMENT OF THE INVENTION

According to a further advantageous embodiment of the invention, a system in a spread spectrum cellular telecommunication system for estimating the interference power increase in the uplink direction due to a new transaction is provided. According to the present embodiment, the system comprises means for calculating the interference power increase estimate at least partly on the basis of

- current fractional load,
- current received interference power level, and
- a load factor $\Delta L$,

and means for calculating the load factor as

$$\Delta L = \frac{1}{1 + \frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate of the new transaction, and $SIR$ is the estimated required signal-to-interference ratio for the service type of the new transaction.

According to a further aspect of the invention, the system may be comprised in a network element, such as a radio network controller (RNC). The radio network controller may be part of a radio access network (RAN) of the UMTS telecommunications network.

## H. FURTHER CONSIDERATIONS

In view of the foregoing description it will be evident to a person skilled in the art that various modifications may be made within the scope of the invention. While a preferred embodiment of the invention has been described in detail, it should be apparent that many modifications and variations thereto are possible, all of which fall within the true spirit and scope of the invention.

Claims

1. Method for estimating the interference power increase in the uplink direction due to a transaction in a spread spectrum cellular telecommunication system, **characterized** in that the interference power increase estimate is calculated at least partly on the basis of
- current fractional load,
- current received interference power level, and
- load factor $\Delta L$, which is calculated essentially as

$$\Delta L = \frac{1}{1 + \frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate of the new transaction, and $SIR$ is the estimated required signal-to-interference ratio for the service type of the new transaction.

2. The method of claim 1, **characterized** in that the interference power increase estimate $\Delta P_{rx\_total}$ is calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1 - \eta - \Delta L} P_{rx\_total}$$

where $\eta$ is the current fractional load.

3. The method of claim 1, **characterized** in that the interference power increase estimate $\Delta P_{rx\_total}$ is calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1 - \eta} P_{rx\_total}$$

where $\eta$ is the current fractional load.

4. The method of claim 1, **characterized** in that the transaction is a new connection.

5. The method of claim 1, **characterized** in that the transaction is the transmission of a data packet.

6. Admission control method in a spread spectrum cellular telecommunication system, **characterized** in that the method comprises steps in which
- the current received interference power is measured at a receiver,
- the interference power increase due to a new requested connection is estimated at least partly on the basis of current fractional load, current received interference power level, and load factor *ΔL*, which is calculated essentially as

$$\Delta L = \frac{1}{1+\dfrac{W}{SIR \cdot R}}$$

where *W* is the chiprate, *R* is the bitrate of the new connection, and *SIR* is the estimated required signal-to-interference ratio for the service type of the new connection,
- the sum of said current received interference power and said interference power increase is compared to a treshold, and
- resources are allocated for the new requested connection, if said sum is smaller than said treshold.

7. The method of claim 6, **characterized** in that the interference power increase estimate $\Delta P_{rx\_total}$ is calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1-\eta-\Delta L} P_{rx\_total}$$

where $\eta$ is the current fractional load.

8. The method of claim 6, **characterized** in that the interference power increase estimate $\Delta P_{rx\_total}$ is calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1-\eta} P_{rx\_total}$$

where $\eta$ is the current fractional load.

9. Method for scheduling data packets in a spread spectrum cellular telecommunication system, **characterized** in that the method comprises steps in which

- the current received interference power is measured at a receiver,
- the interference power increase due to a transmission of a new packet is estimated at least partly on the basis of current fractional load, current received interference power level, and load factor $\Delta L$, which is calculated essentially as

$$\Delta L = \frac{1}{1+\frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate which will be used in transmission of the packet, and $SIR$ is the estimated required signal-to-interference ratio for the succesful transmission and reception of the packet,

- the sum of said current received interference power and said interference power increase is compared to a treshold, and
- resources are allocated for the transmission of the packet, if said sum is smaller than said treshold.

10. The method of claim 9, **characterized** in that the interference power increase estimate $\Delta P_{rx\_total}$ is calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1-\eta-\Delta L} P_{rx\_total}$$

where $\eta$ is the current fractional load.

11. The method of claim 9, **characterized** in that the interference power increase estimate $\Delta P_{rx\_total}$ is calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1-\eta} P_{rx\_total}$$

where $\eta$ is the current fractional load.

12. System for estimating the interference power increase in the uplink direction due to a new transaction in a spread spectrum cellular telecommunication system, **characterized** in that
the system comprises means for calculating the interference power increase estimate at least partly on the basis of
- current fractional load,
- current received interference power level, and
- a load factor $\Delta L$,

and means for calculating the load factor as

$$\Delta L = \frac{1}{1+\frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate of the new transaction, and $SIR$ is the estimated required signal-to-interference ratio for the service type of the new transaction.

13. Network element of a cellular telecommunications network, **characterized** in that the network element comprises means for calculating an interference power increase estimate due to a new transaction at least partly on the basis of
- current fractional load,
- current received interference power level, and
- a load factor $\Delta L$,

and means for calculating the load factor as

$$\Delta L = \frac{1}{1+\frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate of the new transaction, and $SIR$ is the estimated required signal-to-interference ratio for the service type of the new transaction.

14. The network element of claim 13, **characterized** in that
the network element is a radio network controller.

15. The network element of claim 13, **characterized** in that
the network element is a radio network controller of the UMTS cellular system.

ABSTRACT

The invention is related to control of transmissions in spread spectrum radio systems, more accurately to estimating transmission power increases caused by new transactions in the system. According to the invention, the estimate of interference power increase due to a new transaction is calculated at least partly on the basis of the current fractional load, the current received interference power level, and a load factor $\Delta L$, which is calculated essentially on the basis of the chiprate, the bitrate of the new transaction, and the estimated required signal-to-interference ratio for the service type of the new transaction.

Figure 1

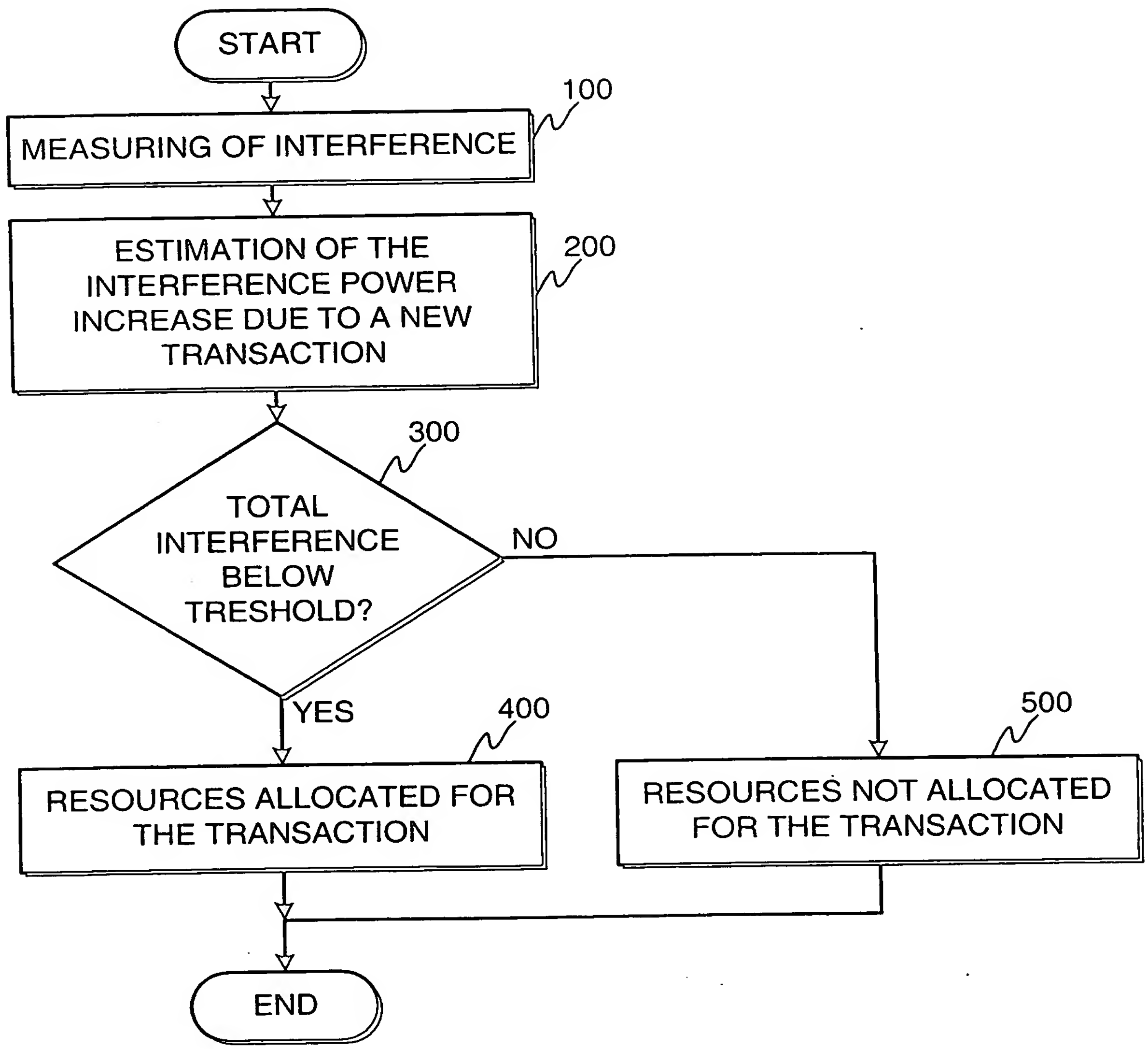
START
MEASURING OF INTERFERENCE
100
ESTIMATION OF THE INTERFERENCE POWER INCREASE DUE TO A NEW TRANSACTION
200
TOTAL INTERFERENCE BELOW TRESHOLD?
300
NO
YES
RESOURCES ALLOCATED FOR THE TRANSACTION
400
RESOURCES NOT ALLOCATED FOR THE TRANSACTION
500
END

Fig. 1

10/070849



**PCT REQUEST** BP100427

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| **0**<br>**0-1** | **For receiving Office use only**<br>International Application No. | |
| **0-2** | International Filing Date | |
| **0-3** | Name of receiving Office and "PCT International Application" | |
| **0-4**<br>0-4-1 | **Form - PCT/RO/101 PCT Request**<br>Prepared using | PCT-EASY Version 2.91 (updated 01.07.2000) |
| **0-5** | **Petition**<br>The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty | |
| **0-6** | **Receiving Office (specified by the applicant)** | National Board of Patents and Registration (Finland) (RO/FI) |
| **0-7** | **Applicant's or agent's file reference** | BP100427 |
| **I** | **Title of invention** | POWER ESTIMATION METHOD |
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| III-2-1 | This person is: | applicant and inventor |
| III-2-2 | Applicant for | US only |
| III-2-4 | Name (LAST, First) | VALKEALAHTI, Kimmo |
| III-2-5 | Address: | Hämeentie 5a B<br>FIN-00530 Helsinki<br>Finland |
| III-2-6 | State of nationality | FI |
| III-2-7 | State of residence | FI |
| **IV-1** | **Agent or common representative; or address for correspondence**<br>The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: | agent |
| IV-1-1 | Name | BERGGREN OY AB |
| IV-1-2 | Address: | P.O. Box 16<br>FIN-00101 Helsinki<br>Finland |
| IV-1-3 | Telephone No. | +358-9-693701 |
| IV-1-4 | Facsimile No. | +358-9-6933944 |
| IV-1-5 | e-mail | email.box@berggren.fi |
| **V** | **Designation of States** | |
| V-1 | Regional Patent<br>(other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned) | AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT<br>EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT<br>EP: AT BE CH&LI CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE and any other State which is a Contracting State of the European Patent Convention and of the PCT<br>OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT |
| V-2 | National Patent<br>(other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned) | AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW |

| | | | |
|---|---|---|---|
| V-5 | **Precautionary Designation Statement** In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. | | |
| V-6 | **Exclusion(s) from precautionary designations** | NONE | |
| VI-1 | **Priority claim of earlier national application** | | |
| VI-1-1 | Filing date | 17 September 1999 (17.09.1999) | |
| VI-1-2 | Number | 19991994 | |
| VI-1-3 | Country | FI | |
| VI-2 | **Priority document request** The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s): | VI-1 | |
| VII-1 | **International Searching Authority Chosen** | European Patent Office (EPO) (ISA/EP) | |
| VIII | **Check list** | number of sheets | electronic file(s) attached |
| VIII-1 | Request | 4 | - |
| VIII-2 | Description | 11 | - |
| VIII-3 | Claims | 5 | - |
| VIII-4 | Abstract | 1 | 100427.txt |
| VIII-5 | Drawings | 1 | - |
| VIII-7 | TOTAL | 22 | |
| | **Accompanying items** | paper document(s) attached | electronic file(s) attached |
| VIII-8 | Fee calculation sheet | ✓ | - |
| VIII-10 | Copy of general power of attorney | ✓ | - |
| VIII-16 | PCT-EASY diskette | - | diskette |
| VIII-18 | **Figure of the drawings which should accompany the abstract** | 1 | |
| VIII-19 | **Language of filing of the international application** | English | |
| IX-1 | **Signature of applicant or agent** | [signature] | |
| IX-1-1 | Name | BERGGREN OY AB | |
| IX-1-2 | Name of signatory | Matti Brax | |
| IX-1-3 | Capacity | Patent Attorney | |

This Page Blank (uspto)

## FOR RECEIVING OFFICE USE ONLY

| | | |
|---|---|---|
| 10-1 | Date of actual receipt of the purported international application | |
| 10-2 | Drawings: | |
| 10-2-1 | Received | |
| 10-2-2 | Not received | |
| 10-3 | Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application | |
| 10-4 | Date of timely receipt of the required corrections under PCT Article 11(2) | |
| 10-5 | International Searching Authority | ISA/EP |
| 10-6 | Transmittal of search copy delayed until search fee is paid | |

## FOR INTERNATIONAL BUREAU USE ONLY

| | | |
|---|---|---|
| 11-1 | Date of receipt of the record copy by the International Bureau | |

**PCT (ANNEX - FEE CALCULATION SHEET)** BP100427

Original (for **SUBMISSION**) - printed on 18.09.2000 12:40:28 PM

(This sheet is not part of and does not count as a sheet of the international application)

| | | | |
|---|---|---|---|
| **0** | **For receiving Office use only** | | |
| 0-1 | International Application No. | | |
| **0-2** | Date stamp of the receiving Office | | |
| **0-4** | **Form - PCT/RO/101 (Annex)**<br>**PCT Fee Calculation Sheet** | | |
| 0-4-1 | Prepared using | PCT-EASY Version 2.91 (updated 01.07.2000) | |
| **0-9** | **Applicant's or agent's file reference** | BP100427 | |
| **2** | **Applicant** | NOKIA NETWORKS OY, et al. | |
| **12** | **Calculation of prescribed fees** | fee amount/multiplier | total amounts (FIM) |
| 12-1 | Transmittal fee T | ⇨ | 800 |
| 12-2 | Search fee S | ⇨ | 5 618.71 |
| 12-3 | International fee<br>Basic fee<br>(first 30 sheets) b1 | 2 431.8 | |
| 12-4 | Remaining sheets | 0 | |
| 12-5 | Additional amount (X) | 53.51 | |
| 12-6 | Total additional amount b2 | 0 | |
| 12-7 | b1 + b2 = B | 2 431.8 | |
| 12-8 | Designation fees<br>Number of designations contained in international application | 87 | |
| 12-9 | Number of designation fees payable (maximum 8) | 8 | |
| 12-10 | Amount of designation fee (X) | 523.22 | |
| 12-11 | Total designation fees D | 4 185.76 | |
| 12-12 | PCT-EASY fee reduction R | -749.16 | |
| 12-13 | Total International fee (B+D-R) I | ⇨ | 5 868.4 |
| 12-14 | Fee for priority document<br>Number of priority documents requested | 1 | |
| 12-15 | Fee per document (X) | 422 | |
| 12-16 | Total priority document fee P | ⇨ | 422 |
| **12-17** | **TOTAL FEES PAYABLE (T+S+I+P)** | ⇨ | 12 709.11 |
| **12-19** | **Mode of payment** | cheque | |

## VALIDATION LOG AND REMARKS

| | | |
|---|---|---|
| **13-2-4** | Validation messages<br>Priority | Yellow!<br>Priority 1: The twelve-month time limit for claiming priority would appear to have expired. Please verify. |

PCT (ANNEX - FEE CALCULATION SHEET) BP100427

Original (for **SUBMISSION**) - printed on 18.09.2000 12:40:28 PM

| | | |
|---|---|---|
| 13-2-6 | Validation messages<br>Contents | Green?<br>Reference number for attached copy of general power of attorney not indicated. |

# INTERNATIONAL SEARCH REPORT

International Application No
PCT/[illegible]00/00786

**A. CLASSIFICATION OF SUBJECT MATTER**
IPC 7 H04B7/005

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04B H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|---|---|---|
| X | BAHNG S ET AL: "Flexible call admission control schemes for DS-CDMA systems with non-uniform traffics" 1999 IEEE TENCON, vol. 1, 15 - 17 September 1999, pages 31-34, XP002901611 abstract paragraph [0III] --- | 1-20 |
| P,X | WO 00 38348 A (NOKIA NETWORKS OY) 29 June 2000 (2000-06-29) page 9 -page 19 page 45 -page 46; figure 2 --- | 1-20 |
| A | EP 0 901 243 A (NIPPON ELECTRIC CO) 10 March 1999 (1999-03-10) column 2; claims --- | 1-20 |
| | -/-- | |

[X] Further documents are listed in the continuation of box C.

[X] Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

| Date of the actual completion of the international search | Date of mailing of the international search report |
|---|---|
| 21 December 2000 | 19. 04. 2001 |

| Name and mailing address of the ISA | Authorized officer |
|---|---|
| European Patent Office, P.B. 5818 Patentlaan 2<br>NL - 2280 HV Rijswijk<br>Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,<br>Fax: (+31-70) 340-3016 | Asa Hallgren |

2

Form PCT/ISA/210 (second sheet) (July 1992)

# INTERNATIONAL SEARCH REPORT

International Application No
PCT[illegible]00/00786

| C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT | | |
|---|---|---|
| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
| A | WO 98 24199 A (NOKIA TELECOMMUNICATIONS OY) 4 June 1998 (1998-06-04)<br>abstract; claim 1<br>----- | 1-20 |

2

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

# INTERNATIONAL SEARCH REPORT

:mati[redacted]patent family members

Internati[redacted]l Application No
PCT[redacted]00/00786

| Patent document cited in search report | Publication date | Patent family member(s) | Publication date |
|---|---|---|---|
| WO 0038348 A | 29-06-2000 | AU 2415199 A | 12-07-2000 |
| EP 0901243 A | 10-03-1999 | JP 3028940 B | 04-04-2000 |
| | | JP 11088940 A | 30-03-1999 |
| | | BR 9803534 A | 03-11-1999 |
| | | CN 1212590 A | 31-03-1999 |
| | | US 6131035 A | 10-10-2000 |
| WO 9824199 A | 04-06-1998 | FI 964707 A | 27-05-1998 |
| | | AU 726338 B | 02-11-2000 |
| | | AU 5055298 A | 22-06-1998 |
| | | CN 1209921 A | 03-03-1999 |
| | | EP 0879511 A | 25-11-1998 |
| | | JP 2000504530 T | 11-04-2000 |
| | | NO 983426 A | 24-07-1998 |

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau

(43) International Publication Date
29 March 2001 (29.03.2001)

PCT

(10) International Publication Number
WO 01/22617 A2

(51) International Patent Classification[7]: H04B 7/00

(21) International Application Number: PCT/FI00/00786

(22) International Filing Date:
18 September 2000 (18.09.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
19991994 17 September 1999 (17.09.1999) FI

(71) Applicant *(for all designated States except US)*: NOKIA NETWORKS OY [FI/FI]; P.O. Box 300, FIN-00045 Nokia Group (FI).

(72) Inventors; and
(75) Inventors/Applicants *(for US only)*: LAAKSO, Janne [FI/FI]; Paraistentie 17 C 44, FIN-00280 Helsinki (FI). VALKEALAHTI, Kimmo [FI/FI]; Hämeentie 5a B, FIN-00530 Helsinki (FI).

(74) Agent: BERGGREN OY AB; P.O. Box 16, FIN-00101 Helsinki (FI).

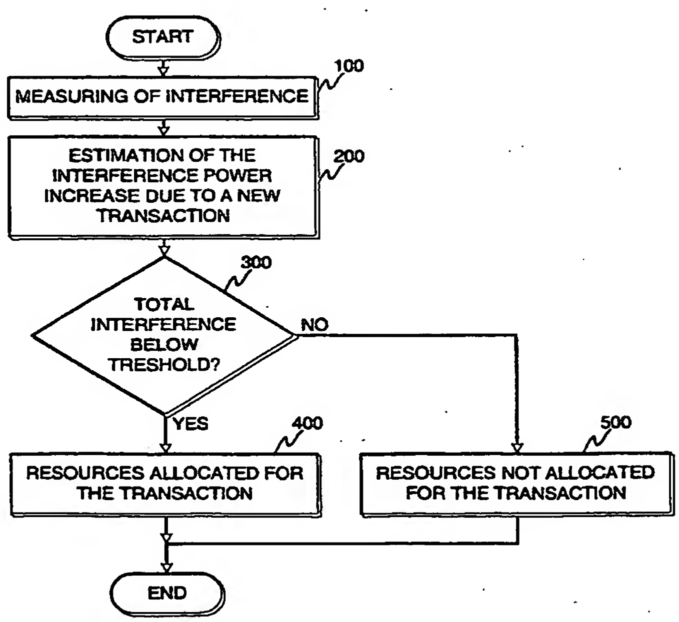
(81) Designated States *(national)*: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States *(regional)*: ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

**Published:**
— *Without international search report and to be republished upon receipt of that report.*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: POWER ESTIMATION METHOD




(57) Abstract: The invention is related to control of transmissions in spread spectrum radio systems, more accurately to estimating transmission power increases caused by new transactions in the system. According to the invention, the estimate of interference power increase due to a new transaction is calculated at least partly on the basis of the current fractional load, the current received interference power level, and a load factor $\delta L$, which is calculated essentially on the basis of the chiprate, the bitrate of the new transaction, and the estimated required signal-to-interference ratio for the service type of the new transaction.

WO 01/22617 A2

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

Copy for the Elected Office (EO/US) PCT/FI00/00786

# PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

## PCT

**NOTIFICATION OF THE RECORDING OF A CHANGE**

(PCT Rule 92bis.1 and Administrative Instructions, Section 422)

To:

BERGGREN OY AB
P.O. Box 16
FIN-00101 Helsinki
FINLANDE

| | |
|---|---|
| **Date of mailing** (day/month/year)<br>21 December 2001 (21.12.01) | |
| **Applicant's or agent's file reference**<br>BP100427 | **IMPORTANT NOTIFICATION** |
| **International application No.**<br>PCT/FI00/00786 | **International filing date** (day/month/year)<br>18 September 2000 (18.09.00) |

1. The following indications appeared on record concerning:

[X] the applicant [ ] the inventor [ ] the agent [ ] the common representative

| Name and Address | State of Nationality | State of Residence |
|---|---|---|
| NOKIA NETWORKS OY<br>P.O. Box 300<br>FIN-00045 Nokia Group<br>Finland | FI | FI |
| | Telephone No. | |
| | Facsimile No. | |
| | Teleprinter No. | |

2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

[ ] the person [X] the name [X] the address [ ] the nationality [ ] the residence

| Name and Address | State of Nationality | State of Residence |
|---|---|---|
| NOKIA CORPORATION<br>Keilalahdentie 4<br>FIN-02150 Espoo<br>Finland | FI | FI |
| | Telephone No. | |
| | Facsimile No. | |
| | Teleprinter No. | |

3. Further observations, if necessary:

4. A copy of this notification has been sent to:

[X] the receiving Office
[ ] the International Searching Authority
[ ] the International Preliminary Examining Authority
[ ] the designated Offices concerned
[X] the elected Offices concerned
[ ] other:

| | |
|---|---|
| **The International Bureau of WIPO**<br>**34, chemin des Colombettes**<br>**1211 Geneva 20, Switzerland**<br>Facsimile No.: (41-22) 740.14.35 | Authorized officer<br>François BAECHLER<br>Telephone No.: (41-22) 338.83.38 |

ACN

# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

| Applicant's or agent's file reference<br>BP100427 | **FOR FURTHER ACTION** see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below. | |
|---|---|---|
| International application No.<br>PCT/FI 00/00786 | International filing date *(day/month/year)*<br>18/09/2000 | (Earliest) Priority Date *(day/month/year)*<br>17/09/1999 |
| Applicant<br>NOKIA NETWORKS OY | | |

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

[X] It is also accompanied by a copy of each prior art document cited in this report.

1. **Basis of the report**
   a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
      [ ] the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).
   b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :
      [ ] contained in the international application in written form.
      [ ] filed together with the international application in computer readable form.
      [ ] furnished subsequently to this Authority in written form.
      [ ] furnished subsequently to this Authority in computer readble form.
      [ ] the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
      [ ] the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. [ ] **Certain claims were found unsearchable** (See Box I).
3. [ ] **Unity of invention is lacking** (see Box II).

4. With regard to the **title,**
   [X] the text is approved as submitted by the applicant.
   [ ] the text has been established by this Authority to read as follows:

5. With regard to the **abstract,**
   [X] the text is approved as submitted by the applicant.
   [ ] the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No. 1
   [X] as suggested by the applicant.
   [ ] because the applicant failed to suggest a figure.
   [ ] because this figure better characterizes the invention.
   [ ] None of the figures.

# INTERNATIONAL SEARCH REPORT

International Application No
PCT/FI 00/00786

**A. CLASSIFICATION OF SUBJECT MATTER**
IPC 7 H04B7/005

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04B H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|---|---|---|
| X | BAHNG S ET AL: "Flexible call admission control schemes for DS-CDMA systems with non-uniform traffics"<br>1999 IEEE TENCON,<br>vol. 1, 15 - 17 September 1999, pages 31-34, XP002901611<br>abstract<br>paragraph [0III]<br>--- | 1-20 |
| P,X | WO 00 38348 A (NOKIA NETWORKS OY)<br>29 June 2000 (2000-06-29)<br>page 9 -page 19<br>page 45 -page 46; figure 2<br>--- | 1-20 |
| A | EP 0 901 243 A (NIPPON ELECTRIC CO)<br>10 March 1999 (1999-03-10)<br>column 2; claims<br>---<br>-/-- | 1-20 |

[X] Further documents are listed in the continuation of box C.

[X] Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

| Date of the actual completion of the international search | Date of mailing of the international search report |
|---|---|
| 21 December 2000 | 19.04.2001 |

| Name and mailing address of the ISA | Authorized officer |
|---|---|
| European Patent Office, P.B. 5818 Patentlaan 2<br>NL - 2280 HV Rijswijk<br>Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,<br>Fax: (+31-70) 340-3016 | Asa Hallgren |

2

Form PCT/ISA/210 (second sheet) (July 1992)

International Application No
PCT/FI 00/00786

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
| --- | --- | --- |
| A | WO 98 24199 A (NOKIA TELECOMMUNICATIONS OY) 4 June 1998 (1998-06-04)<br>abstract; claim 1<br>----- | 1-20 |

2

# INTERNATIONAL SEARCH REPORT

**Information on patent family members**

International Application No
PCT/FI 00/00786

| Patent document cited in search report | Publication date | Patent family member(s) | Publication date |
|---|---|---|---|
| WO 0038348 A | 29-06-2000 | AU 2415199 A | 12-07-2000 |
| EP 0901243 A | 10-03-1999 | JP 3028940 B | 04-04-2000 |
| | | JP 11088940 A | 30-03-1999 |
| | | BR 9803534 A | 03-11-1999 |
| | | CN 1212590 A | 31-03-1999 |
| | | US 6131035 A | 10-10-2000 |
| WO 9824199 A | 04-06-1998 | FI 964707 A | 27-05-1998 |
| | | AU 726338 B | 02-11-2000 |
| | | AU 5055298 A | 22-06-1998 |
| | | CN 1209921 A | 03-03-1999 |
| | | EP 0879511 A | 25-11-1998 |
| | | JP 2000504530 T | 11-04-2000 |
| | | NO 983426 A | 24-07-1998 |

# PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

**PCT**

**NOTIFICATION OF THE RECORDING OF A CHANGE**

(PCT Rule 92bis.1 and Administrative Instructions, Section 422)

To:

BERGGREN OY AB
P.O. Box 16
FIN-00101 Helsinki
FINLANDE

Berggren Oy Ab
-9 -01- 2002

SKO\MT

| | |
|---|---|
| **Date of mailing** (day/month/year)<br>21 December 2001 (21.12.01) | |
| **Applicant's or agent's file reference**<br>BP100427 | **IMPORTANT NOTIFICATION** |
| **International application No.**<br>PCT/FI00/00786 | **International filing date** (day/month/year)<br>18 September 2000 (18.09.00) |

1. The following indications appeared on record concerning:

[X] the applicant [ ] the inventor [ ] the agent [ ] the common representative

| Name and Address | State of Nationality | State of Residence |
|---|---|---|
| NOKIA NETWORKS OY<br>P.O. Box 300<br>FIN-00045 Nokia Group<br>Finland | FI | FI |
| | Telephone No. | |
| | Facsimile No. | |
| | Teleprinter No. | |

2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

[ ] the person [X] the name [X] the address [ ] the nationality [ ] the residence

| Name and Address | State of Nationality | State of Residence |
|---|---|---|
| NOKIA CORPORATION<br>Keilalahdentie 4<br>FIN-02150 Espoo<br>Finland | FI | FI |
| | Telephone No. | |
| | Facsimile No. | |
| | Teleprinter No. | |

3. Further observations, if necessary:

4. A copy of this notification has been sent to:

[X] the receiving Office
[ ] the International Searching Authority
[ ] the International Preliminary Examining Authority
[ ] the designated Offices concerned
[X] the elected Offices concerned
[ ] other:

| | |
|---|---|
| **The International Bureau of WIPO**<br>**34, chemin des Colombettes**<br>**1211 Geneva 20, Switzerland**<br>Facsimile No.: (41-22) 740.14.35 | Authorized officer<br>François BAECHLER<br>Telephone No.: (41-22) 338.83.38 |

Form PCT/IB/306 (March 1994)

004560022

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*The demand must be filed directly with the competent International Preliminary Examining Authority or, if two or more Authorities are competent, with the one chosen by the applicant. The [illegible]ame or two-letter code of that Authority may be in[illegible] by the applicant on the line below:*

**IPEA/** EP

PCT Chapter II
MU DG 2

# PCT

**CHAPTER II**

## DEMAND

under Article 31 of the Patent Cooperation Treaty:
The undersigned requests that the international application specified below be the subject of international preliminary examination according to the Patent Cooperation Treaty and hereby elects all eligible States (except where otherwise indicated).

| For International Preliminary Examining Authority use only | |
|---|---|
| Identification of IPEA | Date of receipt of DEMAND |

| **Box No. I IDENTIFICATION OF THE INTERNATIONAL APPLICATION** | | Applicant's or agent's file reference<br>BP100427/SKU/PKK |
|---|---|---|
| International application No.<br>PCT/FI00/00786 | International filing date *(day/month/year)*<br>18 September 2000 (18.09.00) | (Earliest) Priority date *(day/month/year)*<br>17 September 1999 (17.09.99) |
| Title of invention<br>Power estimation method | | |

**Box No. II APPLICANT(S)**

| Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)*<br><br>NOKIA NETWORKS OY<br>P.O. Box 300, FIN-00045 NOKIA GROUP, Finland | Telephone No.<br><br>Facsimile No.<br><br>Teleprinter No. |
|---|---|
| State *(that is, country)* of nationality:<br>Finland | State *(that is, country)* of residence:<br>Finland |

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)*

LAAKSO, Janne
Paraistentie 17 C 44, FIN-00280 HELSINKI, Finland

| State *(that is, country)* of nationality: | State *(that is, country)* of residence: |
|---|---|
| Finland | Finland |

Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)*

VALKEALAHTI, Kimmo
Hämeentie 5a B, FIN-00530 HELSINKI, Finland

| State *(that is, country)* of nationality: | State *(that is, country)* of residence: |
|---|---|
| Finland | Finland |

☐ Further applicants are indicated on a continuation sheet.

PCT Chapter II
MU

Sheet No. 2

International application No.
PCT/FI00/00786

**Box No. III AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE**

The following person is [X] agent [ ] common representative

and [X] has been appointed earlier and represents the applicant(s) also for international preliminary examination.

[ ] is hereby appointed and any earlier appointment of (an) agent(s)/common representative is hereby revoked.

[ ] is hereby appointed, specifically for the procedure before the International Preliminary Examining Authority, in addition to the agent(s)/common representative appointed earlier.

| Name and address: *(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)* | Telephone No. |
|---|---|
| BERGGREN OY AB<br>P.O. Box 16, FIN-00101 HELSINKI, Finland | +358 9 693 701 |
| | Facsimile No.<br>+358 9 693 3944 |
| | Teleprinter No. |

[ ] **Address for correspondence:** Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special addr ess to which correspondence should be sent.

**Box No. IV BASIS FOR INTERNATIONAL PRELIMINARY EXAMINATION**

**Statement concerning amendments:***

1. The applicant wishes the international preliminary examination **to start on the basis of:**

[X] the international application as originally filed

the description [X] as originally filed
[ ] as amended under Article 34

the claims [X] as originally filed
[ ] as amended under Article 19 (together with any accompanying statement)
[ ] as amended under Article 34

the drawings [X] as originally filed
[ ] as amended under Article 34

2. [ ] The applicant wishes any amendment to the claims under Article 19 to be considered as reversed.

3. [ ] The applicant wishes the start of the international preliminary examination **to be postponed** until the expiration of 20 months from the priority date unless the International Preliminary Examining Authority receives a copy of any amendments made under Article 19 or a notice from the applicant that he does not wish to make such amendments (Rule 69.1(d)). *(This check-box may be marked only where the time limit under Article 19 has not yet expired.)*

* Where no check-box is marked, international preliminary examination will start on the basis of the international application as originally filed or, where a copy of amendments to the claims under Article 19 and/or amendments of the international application under Article 34 are received by the International Preliminary Examining Authority before it has begun to draw up a written opinion or the international preliminary examination report, as so amended.

**Language for the purposes of international preliminary examination:** English

[X] which is the language in which the international application was filed.
[X] which is the language of a translation furnished for the purposes of international search.
[X] which is the language of publication of the international application.
[ ] which is the language of the translation (to be) furnished for the purposes of international preliminary examination.

**Box No. V ELECTION OF STATES**

The applicant hereby **elects all eligible States** *(that is, all States which have been designated and which are bound by Chapter II of the PCT)*

excluding the following States which the applicant wishes **not to elect:**

PCT Chapter II
MU [redacted] G 2

Sheet No. 3

[redacted]ational application No.
PCT/FI00/00786

## Box No. VI CHECK LIST

The demand is accompanied by the following elements, in the language referred to in Box No. IV, for the purposes of international preliminary examination:

| | | | For International Preliminary Examining Authority use only: received | not received |
|---|---|---|---|---|
| 1. translation of international application | : | sheets | ☐ | ☐ |
| 2. amendments under Article 34 | : | sheets | ☐ | ☐ |
| 3. copy (or, where required, translation) of amendments under Article 19 | : | sheets | ☐ | ☐ |
| 4. copy (or, where required, translation) of statement under Article 19 | : | sheets | ☐ | ☐ |
| 5. letter | : | sheets | ☐ | ☐ |
| 6. other *(specify)* | : | sheets | ☐ | ☐ |

The demand is also accompanied by the item(s) marked below:

1. [x] fee calculation sheet
2. [ ] separate signed power of attorney
3. [ ] copy of general power of attorney; reference number, if any:
4. [ ] statement explaining lack of signature
5. [ ] nucleotide and or amino acid sequence listing in computer readable form
6. [ ] other *(specify)*:

## Box No. VII SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE

*Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the demand).*

BERGGREN OY AB

Sirpa Kuisma
Patent Attorney HELSINKI, Finland, 10 April 2001

For International Preliminary Examining Authority use only

1. Date of actual receipt of DEMAND:
2. Adjusted date of receipt of demand due to CORRECTIONS under Rule 60.1(b):
3. [ ] The date of receipt of the demand is AFTER the expiration of 19 months from the priority date and item 4 or 5, below, does not apply. [ ] The applicant has been informed accordingly.
4. [ ] The date of receipt of the demand is WITHIN the period of 19 months from the priority date as extended by virtue of Rule 80.5.
5. [ ] Although the date of receipt of the demand is after the expiration of 19 months from the priority date, the delay in arrival is EXCUSED pursuant to Rule 82.

For International Bureau use only

Demand received from IPEA on:

PCT Chapter II
MU D

# PCT

CHAPTER II

## FEE CALCULATION SHEET

**Annex to the Demand for international preliminary examination**

| | |
|---|---|
| International application No. | PCT/FI00/00786 |
| Applicant's or agent's file reference | BP100427/SKU/PKK |

For International Preliminary Examining Authority use only

Date stamp of the IPEA

Applicant

NOKIA NETWORKS OY

**Calculation of prescribed fees**

1. Preliminary examination fee ........ EUR 1533 | P

2. Handling fee *(Applicants from certain States are entitled to a reduction of 75% of the handling fee. Where the applicant is (or all applicants are) so entitled, the amount to be entered at H is 25% of the handling fee.)* ........ EUR 147 | H

3. Total of prescribed fees
Add the amounts entered at P and H and enter total in the TOTAL box ........ EUR 1680 | TOTAL

**Mode of Payment**

- [X] authorization to charge deposit account with the IPEA (see below)
- [ ] cheque
- [ ] postal money order
- [ ] bank draft
- [ ] cash
- [ ] revenue stamps
- [ ] coupons
- [ ] other *(specify)*:

**Deposit Account Authorization** *(this mode of payment may not be available at all IPEAs)*

The IPEA/ EP [X] is hereby authorized to charge the total fees indicated above to my deposit account.

[ ] *(this check-box may be marked only if the conditions for deposit accounts of the IPEA so permit)* is hereby authorized to charge any deficiency or credit any overpayment in the total fees indicated above to my deposit account.

Berggren Oy Ab

| 28150004 | 10 April 2001 | |
|---|---|---|
| Deposit Account Number | Date *(day/month/year)* | Signature Pia Kulju, Patent Assistant |

# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference<br>BP100427/SKU/PKK | **FOR FURTHER ACTION** | See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) |
|---|---|---|
| International application No.<br>PCT/FI00/00786 | International filing date *(day/month/year)*<br>18/09/2000 | Priority date *(day/month/year)*<br>17/09/1999 |

International Patent Classification (IPC) or national classification and IPC
H04B7/00

Applicant
NOKIA NETWORKS OY et al.

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 7 sheets, including this cover sheet.

   ☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

   These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

   - I ☒ Basis of the report
   - II ☐ Priority
   - III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
   - IV ☐ Lack of unity of invention
   - V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations suporting such statement
   - VI ☒ Certain documents cited
   - VII ☒ Certain defects in the international application
   - VIII ☒ Certain observations on the international application

| Date of submission of the demand | Date of completion of this report |
|---|---|
| 10/04/2001 | 08.08.2001 |
| Name and mailing address of the international preliminary examining authority:<br>European Patent Office<br>D-80298 Munich<br>Tel. +49 89 2399 - 0 Tx: 523656 epmu d<br>Fax: +49 89 2399 - 4465 | Authorized officer<br>Aquilani, D<br>Telephone No. +49 89 2399 7981 |

**INTERNATIONAL PRELIMINARY EXAMINATION REPORT**

International application No. PCT/FI00/00786

**I. Basis of the report**

1. With regard to the **elements** of the international application *(Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17))*:
**Description, pages:**

1-11 as originally filed

**Claims, No.:**

1-20 as originally filed

**Drawings, sheets:**

1 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:

☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

   *(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Statement

| | | | |
|---|---|---|---|
| Novelty (N) | Yes: | Claims | 1-20 |
| | No: | Claims | |
| Inventive step (IS) | Yes: | Claims | 1-20 |
| | No: | Claims | |
| Industrial applicability (IA) | Yes: | Claims | 1-20 |
| | No: | Claims | |

2. Citations and explanations
   **see separate sheet**

**VI. Certain documents cited**

1. Certain published documents (Rule 70.10)

and / or

2. Non-written disclosures (Rule 70.9)

   **see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:
**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:
**see separate sheet**

**Re Item V**

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Reference is made to the following documents:

   D1: BAHNG S ET AL: 'Flexible call admission control schemes for DS-CDMA systems with non-uniform traffics' 1999 IEEE TENCON, vol. 1, 15 - 17 September 1999, pages 31-34.
   D2: WO 98 24199 A (NOKIA TELECOMMUNICATIONS OY) 4 June 1998.
   D3: WO 00 38348 A (NOKIA NETWORKS OY) 29 June 2000.

2. The document D1 is regarded as being the closest prior art to the subject-matter of claim 1, and shows (the references in parentheses applying to this document):

   A method for estimating the interference power increase (γ) in the uplink direction due to a transaction in a spread spectrum cellular telecommunication system, whereas the interference power increase estimate is calculated at least partly (D1 discloses a method to estimate the increase of utilization of a base station's normalized capacity; see para. II. It is therefore possible to say that this estimate of increase of capacity utilization is an indirect estimate of the interference power increase. In other word the method disclosed by D1 estimates, *at least partly*, the interference power increase) on the basis of a load factor (γ), which is calculated essentially on the basis of the chiprate (W in eq. (2) or (3)), the bitrate of the new transaction (R in eq. (2) or (3)), and the estimated required signal-to-interference ratio for the service type of the new transaction ($E_b/N_o$ in eq. (2) or (3)).

3. The subject-matter of claim 1 therefore differs from this known D1 in that the estimate is made also in the basis of the
   (i) current fractional load
   (ii) current received interference power level

4. The subject-matter of claim 1 is therefore novel (Article 33(2) PCT).

5. The problem to be solved by the present invention may therefore be regarded as an alternative way to provide an estimation of the interference power increase in the uplink direction due to a transaction in a spread spectrum cellular telecommunication system.

6. The solution to this problem proposed in claim of the present application is considered as involving an inventive step (Article 33(3) PCT) for the following reasons:

   neither document D1 or document D2, which both deal with the estimation of base station resources utilization with the purpose of the base station load control disclose or give indication of utilizing the fractional load and the current received interference power level to solve the above mentioned problem.

7. The subject matter of independent claims 7, 11, 15 corresponds to the one disclosed by claim 1. Hence the argumentation regarding novelty and inventive step provided for claim 1 correspondingly applies to claim 7, 11, 15.

8. Claims 3-6, 8-10, 12-14, 16-20 are respectively dependent on claims 1, 7, 11, 15 and as such also meet the requirements of the PCT with respect to novelty and inventive step.

**Re Item VI**

**Certain documents cited**

9. Document D3 has been filed on 18 December 1998 and published on 29 June 2000 (no priority is claimed).
   According to Rule 64.3 PCT, D3 is not to be considered as part of the prior art.
   It is however hereby reminded that other Patent Authorities (such as the Europan Patent Office) could consider, in force of their own applicable rules, the document D3 as making part of the available prior art.

**Re Item VII**

**Certain defects in the international application**

10. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art

disclosed in the documents D1 and D2 is not mentioned in the description, nor are these document/s identified therein.

11. Although claims 1, 7, 11, 15 are drafted in the two-part form the feature " a load factor which is calculated..." is incorrectly placed in the characterising portion, as it is disclosed in document D1 in combination with the features placed in the preamble (Rule 6.3(b) PCT).

12. The features of the claims are not provided with reference signs placed in parentheses (Rule 6.2(b) PCT).

**Re Item VIII**

**Certain observations on the international application**

13. The terms "current fractional load" and "load factor" used in claims 1, 7, 11, 15 are vague and unclear and leave the reader in doubt as to the meaning of the technical features to which they refer, thereby rendering the definition of the subject-matter of said claims unclear (Article 6 PCT).

14. Although claims 1, 7, 11 have been drafted as separate independent claims, they appear to relate effectively to the same subject-matter and to differ from each other only with regard to the definition of the subject-matter for which protection is sought and in respect of the terminology used for the features of that subject-matter. The aforementioned claims therefore lack conciseness. Moreover, lack of clarity of the claims as a whole arises, since the plurality of independent claims makes it difficul to determine the matter for which protection is sought, and places an undue burden on others seeking to establish the extent of the protection.

    Hence, claims 1, 7, 11 do not meet the requirements of Article 6 PCT.

15. The vague and imprecise statement referring to the "spirit of invention" in the description on page 11 implies that the subject-matter for which protection is sought may be different to that defined by the claims, thereby resulting in lack of clarity of the claims (Article 6 PCT) when used to interpret them (see the Guidelines, III, 4.3a).

# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

| Applicant's or agent's file reference<br>BP100427 | **FOR FURTHER ACTION** see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below. | |
|---|---|---|
| International application No.<br>PCT/FI 00/00786 | International filing date *(day/month/year)*<br>18/09/2000 | (Earliest) Priority Date *(day/month/year)*<br>17/09/1999 |
| Applicant<br>NOKIA NETWORKS OY | | |

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

[X] It is also accompanied by a copy of each prior art document cited in this report.

1. **Basis of the report**

   a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

   [ ] the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

   b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

   [ ] contained in the international application in written form.
   [ ] filed together with the international application in computer readable form.
   [ ] furnished subsequently to this Authority in written form.
   [ ] furnished subsequently to this Authority in computer readble form.
   [ ] the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
   [ ] the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. [ ] **Certain claims were found unsearchable** (See Box I).
3. [ ] **Unity of invention is lacking** (see Box II).

4. With regard to the **title,**

   [X] the text is approved as submitted by the applicant.
   [ ] the text has been established by this Authority to read as follows:

5. With regard to the **abstract,**

   [X] the text is approved as submitted by the applicant.
   [ ] the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No. 1

   [X] as suggested by the applicant.
   [ ] because the applicant failed to suggest a figure.
   [ ] because this figure better characterizes the invention.
   [ ] None of the figures.

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# INTERNATIONAL SEARCH REPORT

International Application No
PCT/FI 00/00786

**A. CLASSIFICATION OF SUBJECT MATTER**
IPC 7 H04B7/005

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04B H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|---|---|---|
| X | BAHNG S ET AL: "Flexible call admission control schemes for DS-CDMA systems with non-uniform traffics" 1999 IEEE TENCON, vol. 1, 15 - 17 September 1999, pages 31-34, XP002901611 abstract paragraph [0III] --- | 1-20 |
| P,X | WO 00 38348 A (NOKIA NETWORKS OY) 29 June 2000 (2000-06-29) page 9 -page 19 page 45 -page 46; figure 2 --- | 1-20 |
| A | EP 0 901 243 A (NIPPON ELECTRIC CO) 10 March 1999 (1999-03-10) column 2; claims --- | 1-20 |
| | -/-- | |

[X] Further documents are listed in the continuation of box C.

[X] Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

| Date of the actual completion of the international search | Date of mailing of the international search report |
|---|---|
| 21 December 2000 | 19. 04. 2001 |

| Name and mailing address of the ISA | Authorized officer |
|---|---|
| European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 | Asa Hallgren |

2

International Application No
PCT/FI 00/00786

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|---|---|---|
| A | WO 98 24199 A (NOKIA TELECOMMUNICATIONS OY) 4 June 1998 (1998-06-04)<br>abstract; claim 1<br>----- | 1-20 |

2

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No
PCT/FI 00/00786

| Patent document cited in search report | | Publication date | Patent family member(s) | | | Publication date |
|---|---|---|---|---|---|---|
| WO 0038348 | A | 29-06-2000 | AU | 2415199 | A | 12-07-2000 |
| EP 0901243 | A | 10-03-1999 | JP | 3028940 | B | 04-04-2000 |
| | | | JP | 11088940 | A | 30-03-1999 |
| | | | BR | 9803534 | A | 03-11-1999 |
| | | | CN | 1212590 | A | 31-03-1999 |
| | | | US | 6131035 | A | 10-10-2000 |
| WO 9824199 | A | 04-06-1998 | FI | 964707 | A | 27-05-1998 |
| | | | AU | 726338 | B | 02-11-2000 |
| | | | AU | 5055298 | A | 22-06-1998 |
| | | | CN | 1209921 | A | 03-03-1999 |
| | | | EP | 0879511 | A | 25-11-1998 |
| | | | JP | 2000504530 | T | 11-04-2000 |
| | | | NO | 983426 | A | 24-07-1998 |

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau

(43) International Publication Date
29 March 2001 (29.03.2001)

PCT

(10) International Publication Number
**WO 01/22617 A2**

(51) International Patent Classification[7]: H04B 7/00

(21) International Application Number: PCT/FI00/00786

(22) International Filing Date: 18 September 2000 (18.09.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
19991994 17 September 1999 (17.09.1999) FI

(71) Applicant *(for all designated States except US)*: NOKIA NETWORKS OY [FI/FI]; P.O. Box 300, FIN-00045 Nokia Group (FI).

(72) Inventors; and
(75) Inventors/Applicants *(for US only)*: LAAKSO, Janne [FI/FI]; Paraistentie 17 C 44, FIN-00280 Helsinki (FI). VALKEALAHTI, Kimmo [FI/FI]; Hämeentie 5a B, FIN-00530 Helsinki (FI).

(74) Agent: BERGGREN OY AB; P.O. Box 16, FIN-00101 Helsinki (FI).

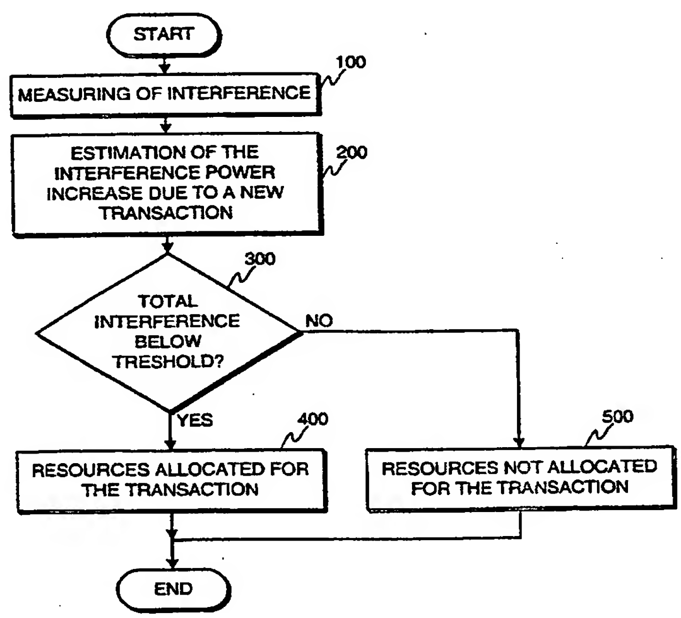
(81) Designated States *(national)*: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States *(regional)*: ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

**Published:**
— *Without international search report and to be republished upon receipt of that report.*

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: POWER ESTIMATION METHOD




(57) Abstract: The invention is related to control of transmissions in spread spectrum radio systems, more accurately to estimating transmission power increases caused by new transactions in the system. According to the invention, the estimate of interference power increase due to a new transaction is calculated at least partly on the basis of the current fractional load, the current received interference power level, and a load factor $\delta L$, which is calculated essentially on the basis of the chiprate, the bitrate of the new transaction, and the estimated required signal-to-interference ratio for the service type of the new transaction.

WO 01/22617 A2

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# Power estimation method

## BACKGROUND OF THE INVENTION

### 1. Field of the Invention

The invention is related to control of transmissions in spread spectrum radio systems, more accurately to estimating transmission power increases caused by new transactions in the system. Specifically the invention is related to such a method as described in the preamble of the independent method claim.

### 2. Description of Related Art

In cellular telecommunication systems a single speech connection or data connection through the cellular telecommunication network is called a bearer. Generally, a bearer is associated with a set of parameters pertaining to data communication between a certain terminal equipment and a network element, such as a base station or an interworking unit (IWU) connecting the cellular network to another telecommunications network. The set of parameters associated with a bearer comprises typically for example data transmission speed, allowed delays, allowed bit error rate (BER), and the minimum and maximum values for these parameters. A bearer may further be a packet transmission bearer or a circuit switched bearer and support for example transparent or non-transparent connections. A bearer can be thought of as a data transmission path having the specified parameters connecting a certain mobile terminal and a certain network element for transmission of payload information. One bearer always connects only one mobile terminal to one network element. However, a bearer can pass through a number of network elements. One mobile communication means (ME, Mobile Equipment) may in some cellular telecommunication systems support one bearer only, in some other systems also more than one simultaneous bearers.

In order to be able to transmit information in a desired way, connections over the radio interface have to obtain a desired level of quality. The quality can be expressed for example as the C/I i.e. Carrier to Interference ratio, which indicates the ratio of received carrier wave power to received interfering power. Other

measures for the quality of a connection are SIR i.e. Signal to Interference ratio, S/N i.e. Signal to Noise ratio, and S/(I+N) i.e. Signal to Noise plus Interference ratio. The bit error rate (BER) or frame error rate (FER) are also used as measures of connection quality. Typically, a certain target level for one of these or other corresponding measures is determined beforehand, and for each connection, the transmission power is adjusted to be such that the target level is reached as closely as possible. The transmission power should not be higher than what is necessary for obtaining the desired target level, since a too high transmission level wastes electrical energy in the transmitting equipment, which is crucial with handheld mobile stations, and causes interference to other connections.

Admission control is a crucial function in ensuring, that each bearer obtains the desired SIR level. The purpose of admission control is to examine each new request for a new bearer, and determine whether the requested service can be provided without degrading the service to other bearers, taking into account the transmission power of the requested bearer. If the new bearer can be serviced without harming other bearers, the request is admitted. Admission control typically co-operates with power control, whereby the transmission power of some of the other bearers may be adjusted in order to guarantee the SIR target level of the other bearers.

Various admission control algorithms have been proposed in the past. The article "SIR-Based Call Admission Control for DS-CDMA Cellular Systems" by Zhao Liu and Magda El Zarki, I2 Journal on selected areas in communications, vol. 12, no. 4, pp. 638-644, May 1994, describes an algorithm based on the concept of residual capacity. Residual capacity is defined as the additional number of initial calls a base station can accept. If the residual capacity is larger than zero, new calls are admitted. The residual capacity is determined from measured SIR levels and a treshold SIR level.

Another algorithms are described in the article "Call Admission in Power Controlled CDMA Systems" by Ching Yao Huang and Roy D. Yates, in proceedings of I2 VTS 46th Vehicular Technology Conference, April 28 - May 1, 1996, Atlanta, USA, pp. 1665-1669. In this article, two simple algorithms are presented. In the first algorithm, a new call is blocked when that new call would cause ongoing calls to transmit at maximum power. In the second algorithm, a new call is blocked if the total received power measured at the base station exceeds a predetermined treshold.

These algorithms function well, when the calls i.e. bearers are relatively similar in terms of resource usage, and any admission tresholds are set to a level where the

admission of a bearer does not increase the load too near to the maximum capacity. However, these algorithms do not function well, when the bearers have widely varying properties, i.e. when the network needs to handle both low bit rate bearers such as normal speech bearers, and high bit rate bearers such as high-capacity data bearers or live video bearers. Such a variety of services will be provided for example by the UMTS cellular telecommunication system presently under development. For example, in the conventional algorithm in which a new call is allowed if the total received power measured at the base station is under a predetermined treshold, a high bit rate bearer may increase the network load too near to the maximum capacity. This can be prevented by lowering the treshold so that any high rate bearers allowed close to the treshold still do not increase the total load too much, but in that case, the low bit rate speech bearers end up being refused even if the remaining capacity could accommodate them.

A further admission control approach is to limit the admission by the amount of hardware or, e.g., by the number of connections or by the number of transmitted bits. If we consider admission control schemes that operate for each cell separately, these non-interference based schemes take into account the loading only in the own cell while with an interference based scheme the loading in the adjacent cells can be directly seen in the interference measurements.

## SUMMARY OF THE INVENTION

An object of the invention is to realize a method for estimating the interference power increase due to a new transaction in a spread spectrum telecommunication system. A further object of the invention is to provide such a method, which is able to provide more accurate estimates than the prior art methods.

The objects are reached by estimating the interference power increase at least partly on the basis of the current fractional load, the current received interference power level, and a load factor $\Delta L$, which is calculated essentially on the basis of the chiprate, the bitrate of the new transaction, and the estimated required signal-to-interference ratio for the service type of the new transaction.

The interference power increase estimation method according to the invention is characterized by that, which is specified in the characterizing part of the independent interference power increase estimation method claim. The admission control method according to the invention is characterized by that, which is specified in the characterizing part of the independent admission control method

claim. The packet scheduling method according to the invention is characterized by that, which is specified in the characterizing part of the independent packet scheduling method claim. The system according to the invention is characterized by that, which is specified in the characterizing part of the independent claim directed to a system. The network element according to the invention is characterized by that, which is specified in the characterizing part of the independent claim directed to a network element. The dependent claims describe further advantageous embodiments of the invention.

According to an advantageous embodiment of the invention, the estimate of interference power increase due to a new transaction is calculated at least partly on the basis of the current fractional load, the current received interference power level, and a load factor $\Delta L$, which is calculated essentially as

$$\Delta L = \frac{1}{1+\dfrac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate of the new transaction, and $SIR$ is the estimated required signal-to-interference ratio for the service type of the new transaction.

BRIEF DESCRIPTION OF THE DRAWINGS

The invention is described in more detail in the following with reference to the accompanying figure 1, which illustrates a method according to an advantageous embodiment of the invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

A. A FIRST ADVANTAGEOUS EMBODIMENT OF THE INVENTION

According to an important aspect of the invention, the interference power increase due to a new transaction in a radio access network of a spread spectrum telecommunications network is estimated as described below.

The current received total interference power of a base station, $P_{rx\_total}$, can be divided into the interference from the intra-cell users, $P_{rx\_own}$, inter-cell users, $P_{rx\_oth}$, and system noise, $P_N$, which is the interference power of the unloaded system (no interference from this carrier). Moreover, the total power can also be expressed as

the sum of the non-controllable power, $P_{rx\_nc}$, and the controllable power of non-real time users, $P_{rx\_nrt}$:

$$\begin{aligned} P_{rx\_total} &= P_{rx\_own} + P_{rx\_oth} + P_N \\ &= P_{rx\_nc} + P_{rx\_nrt} \end{aligned} \tag{1}$$

The non-controllable power, $P_{rx\_nc}$, consists of the powers of real time users, inter-cell users, and noise. Packet scheduler allocates the controllable power, $P_{rx\_nrt}$, to the packet users. Admission control estimates the increase in the total power due to the new user. Eq. (1) can be transformed into the form:

$$\begin{aligned} P_{rx\_total} &= P_{rx\_own} + \frac{P_{rx\_oth}}{P_{rx\_own}} P_{rx\_own} + P_N \\ &= (1+i)\cdot P_{rx\_own} + P_N \\ &= \frac{P_{rx\_own}}{F} + P_N \\ &= \frac{P_{rx\_total} - P_N}{P_{rx\_total}} \cdot P_{rx\_total} + P_N \\ &= \eta \cdot P_{rx\_total} + P_N \end{aligned} \tag{2}$$

where the ratio of the received inter-cell to intra-cell powers $i$ can be described with

$$\begin{aligned} i &= \frac{P_{rx\_oth}}{P_{rx\_own}} = \frac{P_{rx\_total} - P_{rx\_own} - P_N}{P_{rx\_own}} \Leftrightarrow \\ i &= \frac{1}{F} - 1 = \frac{1-F}{F} \end{aligned} \tag{3}$$

and where

$$F = \frac{P_{rx\_own}}{P_{rx\_total} - P_N} \tag{4}$$

and

$$P_{rx\_own} = \sum_{i=1}^{M} \frac{1}{1 + \dfrac{W}{SIR_i \cdot R_i}} \tag{5}$$

is the intra-cell total interference power where $SIR_i$ is the signal-to-interference ratio of the $i^{th}$ user, $R_i$ is the bitrate of the $i^{th}$ user, $W$ is the chiprate and $M$ is the number of intra-cell active users.

$$P_{rx\_oth} = i \cdot P_{rx\_own} \tag{6}$$

is the inter-cell interference power, and thus, the total uplink interference power can be calculated as follows

$$P_{rx\_total} = (1+i)\cdot P_{rx\_own} + P_N = (1+i)\cdot\sum_{i=1}^{M}\frac{1}{1+\frac{W}{SIR_i\cdot R_i}} + P_N \qquad (7)$$

From Eq. (2) the total received power can be solved as

$$P_{rx\_total} = \frac{P_N}{1-\eta} \qquad (8)$$

The noise rise, *NR*, which can be measured by the base station, is defined as the ratio of the total received power to the system noise,

$$NR = \frac{P_{rx\_total}}{P_N} = \frac{1}{1-\eta} \qquad (9)$$

The value of $\eta$ is obtained as

$$\eta = \frac{NR-1}{NR} \qquad (10)$$

which is called the fractional load. The fractional load $\eta$ is normally used as the uplink load indicator. For example, if the uplink load is said to be 60% of the whole capacity, it means that the fractional load $\eta = 0.60$.

The uplink interference power $I_{total}$ increases when the fractional load $\eta$ increases. The coverage area will shrink if the fractional load increases too much. Therefore, the admission control and load control algorithms are used.

From Eqs. (8) – (10) the actual derivative power increase estimates can be calculated as follows:

$$\frac{dP_{rx\_total}}{d\eta} = \frac{d}{d\eta}\left(\frac{P_N}{1-\eta}\right) \Leftrightarrow$$

$$\frac{dP_{rx\_total}}{d\eta} = \frac{P_N}{(1-\eta)^2} \Leftrightarrow$$

$$\frac{dP_{rx\_total}}{d\eta} = \frac{P_N}{\left(1 - \frac{P_{rx\_total} - P_N}{P_{rx\_total}}\right)^2} \Leftrightarrow$$

$$\frac{dP_{rx\_total}}{d\eta} = \frac{P_{rx\_total}{}^2}{P_N} \Leftrightarrow$$

$$\frac{dP_{rx\_total}}{d\eta} = \frac{1}{1-\eta} P_{rx\_total}$$

$$\Rightarrow \Delta P_{rx\_total} \approx \frac{dP_{rx\_total}}{d\eta} \Delta L \Leftrightarrow \qquad (11)$$

$$\Delta P_{rx\_total} \approx \frac{\Delta L}{1-\eta} P_{rx\_total}$$

where

$$\Delta L = \frac{1}{1 + \frac{W}{SIR \cdot R}} \qquad (12)$$

$\Delta L$ is the load factor of the new transaction under consideration, $W$ is the chiprate, $R$ is the bitrate of the new transaction and $SIR$ is the estimated required signal-to-interference ratio for the transaction.

## B. A SECOND ADVANTAGEOUS EMBODIMENT OF THE INVENTION

According to a further advantageous embodiment of the invention, the uplink power increase can be estimated as follows:

$$
\begin{aligned}
& P_{rx\_total} = \frac{P_N}{1-\eta} \\
& \Rightarrow \frac{dP_{rx\_total}}{d\eta} = \frac{P_N}{(1-\eta)^2} \Leftrightarrow \\
& \Delta P_{rx\_total} = \int_{\eta}^{\eta+\Delta L} dP_{rx\_total} \Leftrightarrow \\
& \Delta P_{rx\_total} = \int_{\eta}^{\eta+\Delta L} \frac{P_N}{(1-\eta)^2} d\eta \Leftrightarrow \\
& \Delta P_{rx\_total} = \frac{P_N}{1-\eta-\Delta L} - \frac{P_N}{1-\eta} \Leftrightarrow \\
& \Delta P_{rx\_total} = \frac{\Delta L}{1-\eta-\Delta L} \frac{P_N}{1-\eta} \Leftrightarrow \\
& \Delta P_{rx\_total} = \frac{\Delta L}{1-\eta-\Delta L} P_{rx\_total}
\end{aligned}
\tag{13}
$$

C. A THIRD ADVANTAGEOUS EMBODIMENT OF THE INVENTION

In a further advantageous embodiment of the invention, multiuser detection is used to cancel at least some of the effect of intra-cell interference. The uplink power increase estimation method with multiuser detection can be calculated as follows:

$$
\Delta P_{rx\_total} = \frac{(1-\beta)\cdot \Delta L}{1-\eta-(1-\beta)\cdot \Delta L} P_{rx\_total} \tag{14}
$$

where $\beta$ is the efficiency of the multiuser detection i.e. the percentage of the intra-cell interference cancelled by the multiuser detector. When $\beta$ equals 1, the of uplink intra-cell interference is perfectly cancelled, i.e. intra-cell users are perfectly orthogonal, and when $\beta$ equals 0 no uplink multiuser detection is performed, i.e. the receiver is in effect a basic Rake receiver.

D. A FOURTH ADVANTAGEOUS EMBODIMENT OF THE INVENTION

Figure 1 illustrates a method according to an advantageous embodiment of the invention. The method is used for deciding, if resources can be allocated for a new transaction. The transaction can be for example a new connection or the transmission of a new packet of data. The method can be applied in spread spectrum telecommunication systems.

According to the method, the current received interference power is measured 100 at a receiver, whereafter the interference power increase due to a new requested connection is estimated 200. Next, it is checked 300 if the total interference power,

i.e. the sum of the measured interference level and the estimated increase is below a treshold. If the total is below the treshold, resources are allocated 400 for the transaction. If the total is not below the treshold, resources are not allocated 500 for the transaction.

E. A FIFTH ADVANTAGEOUS EMBODIMENT OF THE INVENTION

In a further advantageous embodiment of the invention, the previously described interference power increase estimation method is used in admission control, i.e. the transaction mentioned previously is a new requested connection. According to the present embodiment, the admission control method in a spread spectrum cellular telecommunication system comprises steps in which

- the current received interference power is measured at a receiver,
- the interference power increase due to a new requested connection is estimated at least partly on the basis of current fractional load, current received interference power level, and load factor $\Delta L$, which is calculated essentially as

$$\Delta L = \frac{1}{1 + \frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate of the new connection, and $SIR$ is the estimated required signal-to-interference ratio for the service type of the new connection,

- the sum of said current received interference power and said interference power increase is compared to a treshold, and
- resources are allocated for the new requested connection, if said sum is smaller than said treshold.

According to a further aspect of the invention, the interference power increase estimate $\Delta P_{rx\_total}$ can be calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1 - \eta - \Delta L} P_{rx\_total}$$

where $\eta$ is the current fractional load.

According to a still further aspect of the invention, the interference power increase estimate $\Delta P_{rx\_total}$ can be calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1-\eta} P_{rx\_total}$$

where $\eta$ is the current fractional load.

F. A SIXTH ADVANTAGEOUS EMBODIMENT OF THE INVENTION

In a further advantageous embodiment of the invention, the previously described interference power increase estimation method is used in packet scheduling, i.e. the transaction mentioned previously is the transmission of a new packet of data. According to the present embodiment, the method for scheduling data packets in a spread spectrum cellular telecommunication system comprises steps in which
- the current received interference power is measured at a receiver,
- the interference power increase due to a transmission of a new packet is estimated at least partly on the basis of current fractional load, current received interference power level, and load factor $\Delta L$, which is calculated essentially as

$$\Delta L = \frac{1}{1+\frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate which will be used in transmission of the packet, and $SIR$ is the estimated required signal-to-interference ratio for the succesful transmission and reception of the packet,
- the sum of said current received interference power and said interference power increase is compared to a treshold, and
- resources are allocated for the transmission of the packet, if said sum is smaller than said treshold.

According to a further aspect of the invention, the interference power increase estimate $\Delta P_{rx\_total}$ can be calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1-\eta-\Delta L} P_{rx\_total}$$

where $\eta$ is the current fractional load.

According to a still further aspect of the invention, the interference power increase estimate $\Delta P_{rx\_total}$ can be calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1-\eta} P_{rx\_total}$$

where $\eta$ is the current fractional load.

## G. A SEVENTH ADVANTAGEOUS EMBODIMENT OF THE INVENTION

According to a further advantageous embodiment of the invention, a system in a spread spectrum cellular telecommunication system for estimating the interference power increase in the uplink direction due to a new transaction is provided. According to the present embodiment, the system comprises means for calculating the interference power increase estimate at least partly on the basis of

- current fractional load,
- current received interference power level, and
- a load factor $\Delta L$,

and means for calculating the load factor as

$$\Delta L = \frac{1}{1 + \frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate of the new transaction, and $SIR$ is the estimated required signal-to-interference ratio for the service type of the new transaction.

According to a further aspect of the invention, the system may be comprised in a network element, such as a radio network controller (RNC). The radio network controller may be part of a radio access network (RAN) of the UMTS telecommunications network.

## H. FURTHER CONSIDERATIONS

In view of the foregoing description it will be evident to a person skilled in the art that various modifications may be made within the scope of the invention. While a preferred embodiment of the invention has been described in detail, it should be apparent that many modifications and variations thereto are possible, all of which fall within the true spirit and scope of the invention.

## Claims

1. Method for estimating the interference power increase in the uplink direction due to a transaction in a spread spectrum cellular telecommunication system, **characterized** in that the interference power increase estimate is calculated at least partly on the basis of
- current fractional load,
- current received interference power level, and
- a load factor, which is calculated essentially on the basis of the chiprate, the bitrate of the new transaction, and the estimated required signal-to-interference ratio for the service type of the new transaction.

2. The method of claim 1, **characterized** in that said load factor $\Delta L$ is calculated essentially as

$$\Delta L = \frac{1}{1 + \frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate of the new transaction, and $SIR$ is the estimated required signal-to-interference ratio for the service type of the new transaction.

3. The method of claim 1, **characterized** in that the interference power increase estimate $\Delta P_{rx\_total}$ is calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1 - \eta - \Delta L} P_{rx\_total}$$

where $\eta$ is the current fractional load.

4. The method of claim 1, **characterized** in that the interference power increase estimate $\Delta P_{rx\_total}$ is calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1 - \eta} P_{rx\_total}$$

where $\eta$ is the current fractional load.

5. The method of claim 1, **characterized** in that the transaction is a new connection.

6. The method of claim 1, **characterized** in that the transaction is the transmission of a data packet.

7. Admission control method in a spread spectrum cellular telecommunication system, **characterized** in that the method comprises steps in which
- the current received interference power is measured at a receiver,
- the interference power increase due to a new requested connection is estimated at least partly on the basis of current fractional load, current received interference power level, and a load factor, which is calculated essentially on the basis of the chiprate, the bitrate of the new connection, and the estimated required signal-to-interference ratio for the service type of the new connection,
- the sum of said current received interference power and said interference power increase is compared to a treshold, and
- resources are allocated for the new requested connection, if said sum is smaller than said treshold.

8. The method of claim 7, **characterized** in that said load factor $\Delta L$ is calculated essentially as

$$\Delta L = \frac{1}{1 + \frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate of the new connection, and $SIR$ is the estimated required signal-to-interference ratio for the service type of the new connection.

9. The method of claim 7, **characterized** in that the interference power increase estimate $\Delta P_{rx\_total}$ is calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1 - \eta - \Delta L} P_{rx\_total}$$

where $\eta$ is the current fractional load.

10. The method of claim 7, **characterized** in that the interference power increase estimate $\Delta P_{rx\_total}$ is calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1 - \eta} P_{rx\_total}$$

where $\eta$ is the current fractional load.

11. Method for scheduling data packets in a spread spectrum cellular telecommunication system, **characterized** in that the method comprises steps in which
- the current received interference power is measured at a receiver,
- the interference power increase due to a transmission of a new packet is estimated at least partly on the basis of current fractional load, current received interference power level, and a load factor, which is calculated essentially on the basis of the chiprate, the bitrate to be used in transmission of the packet, and the estimated required signal-to-interference ratio for the successful transmission and reception of the packet,
- the sum of said current received interference power and said interference power increase is compared to a treshold, and
- resources are allocated for the transmission of the packet, if said sum is smaller than said treshold.

12. The method of claim 11, **characterized** in that said load factor $\Delta L$ is calculated essentially as

$$\Delta L = \frac{1}{1 + \frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate which will be used in transmission of the packet, and $SIR$ is the estimated required signal-to-interference ratio for the succesful transmission and reception of the packet.

13. The method of claim 11, **characterized** in that the interference power increase estimate $\Delta P_{rx\_total}$ is calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1 - \eta - \Delta L} P_{rx\_total}$$

where $\eta$ is the current fractional load.

14. The method of claim 11, **characterized** in that the interference power increase estimate $\Delta P_{rx\_total}$ is calculated essentially as

$$\Delta P_{rx\_total} = \frac{\Delta L}{1 - \eta} P_{rx\_total}$$

where $\eta$ is the current fractional load.

15. System for estimating the interference power increase in the uplink direction due to a new transaction in a spread spectrum cellular telecommunication system, **characterized** in that
the system comprises means for calculating the interference power increase estimate at least partly on the basis of
- current fractional load,
- current received interference power level, and
- a load factor $\Delta L$,
and means for calculating said load factor essentially on the basis of the chiprate, the bitrate of the new transaction, and the estimated required signal-to-interference ratio for the service type of the new transaction.

16. The systen of claim 15, **characterized** in that said means for calculating said load factor are means for calculating the load factor as

$$\Delta L = \frac{1}{1 + \frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate of the new transaction, and $SIR$ is the estimated required signal-to-interference ratio for the service type of the new transaction.

17. Network element of a cellular telecommunications network, **characterized** in that the network element comprises means for calculating an interference power increase estimate due to a new transaction at least partly on the basis of
- current fractional load,
- current received interference power level, and
- a load factor $\Delta L$,
and means for calculating said load factor essentially on the basis of the chiprate, the bitrate of the new transaction, and the estimated required signal-to-interference ratio for the service type of the new transaction.

18. The network element of claim 17, **characterized** in that said means for calculating the load factor are means for calculating the load factor as

$$\Delta L = \frac{1}{1 + \frac{W}{SIR \cdot R}}$$

where $W$ is the chiprate, $R$ is the bitrate of the new transaction, and $SIR$ is the estimated required signal-to-interference ratio for the service type of the new transaction.

19. The network element of claim 17, **characterized** in that the network element is a radio network controller.

20. The network element of claim 17, **characterized** in that the network element is a radio network controller of the UMTS cellular system.

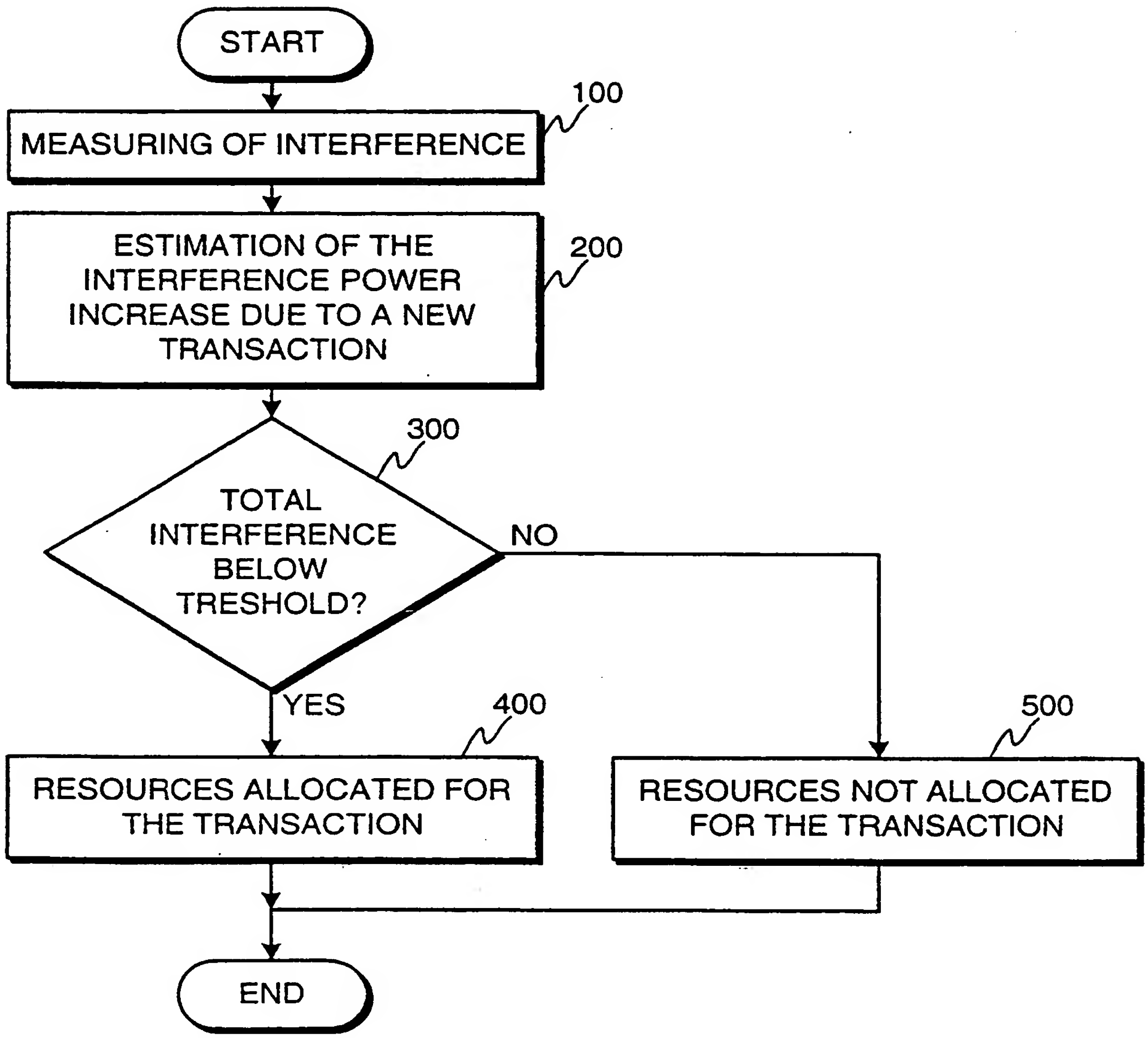
START
MEASURING OF INTERFERENCE
100
ESTIMATION OF THE INTERFERENCE POWER INCREASE DUE TO A NEW TRANSACTION
200
TOTAL INTERFERENCE BELOW TRESHOLD?
300
NO
YES
RESOURCES ALLOCATED FOR THE TRANSACTION
400
RESOURCES NOT ALLOCATED FOR THE TRANSACTION
500
END

Fig. 1

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7 : H04B 7/005 | A1

(11) International Publication Number: WO 00/38348

(43) International Publication Date: 29 June 2000 (29.06.00)

(21) International Application Number: PCT/EP98/08321

(22) International Filing Date: 18 December 1998 (18.12.98)

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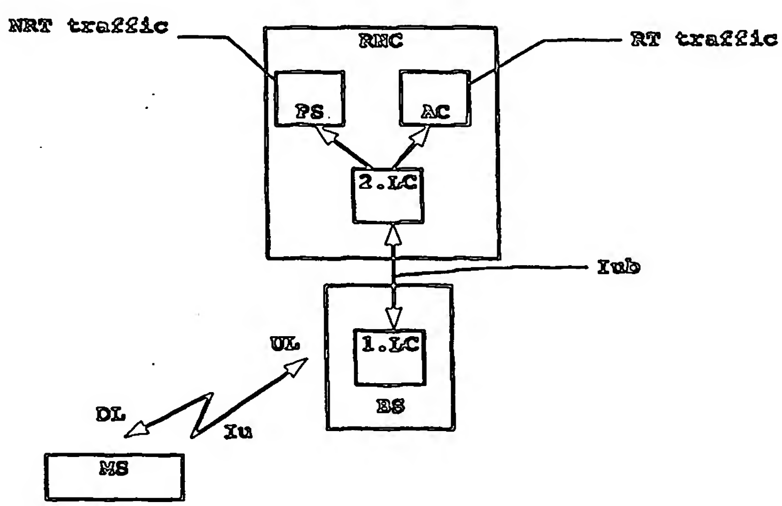
(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

**Published**
*With international search report.*

(54) Title: A METHOD FOR TRAFFIC LOAD CONTROL IN A TELECOMMUNICATION NETWORK




(57) Abstract

The present invention proposes a method for traffic load control in a telecommunication network consisting of at least one radio terminal (MS) and at least one radio transceiver device (BS), each radio transceiver device (BS) defining a cell of said network being controlled by a network control device (RNC); comprising the steps of: setting a first reference load value for the load of a respective cell; monitoring the load of said respective cell, and in response to the load exceeding the first reference load value, manipulating the power control to decrease the transmission power levels in the cell. The present invention thus proposes a fast load control method in that during a situation in which a certain reference load value is exceeded, the load is controlled per base station sector by affecting, e.g. transmit power commands. In addition, such load reductions can be supplemented by re-negotiating bit rates, for example. With the proposed method a necessary load margin can be reduced which advantageously increases the system capacity.

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# A METHOD FOR TRAFFIC LOAD CONTROL IN A TELECOMMUNICATION NETWORK

## FIELD OF THE INVENTION

The present invention relates to a method for traffic load control in a telecommunication network consisting of at least one radio terminal and at least one radio transceiver device, each radio transceiver device defining a cell of the network being controlled by a network control device.

## BACKGROUND OF THE INVENTION

Recently, telecommunication networks have widely spread and an increasing number of subscribers uses the benefits of telecommunication, in particular radio telecommunication networks.

Such networks consist of a plurality of radio transceiver devices or base stations BS, respectively, which effect transmission between the base stations BS and radio terminals (mobile stations) MS of the individual subscribers. The plurality of base stations BS is controlled by a network control element such as for example a radio network controller RNC.

Within a telecommunication network, not only speech is transmitted, but also other data can be exchanged, such as for example facsimiles, data transmitted by short message services SMS, data polled from the internet and so on. Those data are often referred to as packet data, since they are transmitted in respective data packets or files, respectively.

Thus, the more subscribers are registered to such a network and the more data, speech data and/or packet data, can be transmitted using radio telecommunication networks, the higher will be the traffic load imposed on such systems.

However, the maximum traffic capacity that can be handled by radio telecommunication network is limited by the available radio resources RR such as available frequencies, and/or channelization codes, etc.

If the traffic load is continuously increasing, a point might be reached at which the system is overloaded. Then, for example, no new communication may be established.

Additionally, data transmission via already established communication links will be adversely effected due to interference phenomena, which causes a drawback for respective users in that they can not communicate in good quality. It is even possible that as a worst case scenario an overloaded network may "collapse" and all ongoing communication links will break off.

## SUMMARY OF THE INVENTION

Hence, it is an object of the present invention to provide a method for traffic load control in a telecommunication network consisting of at least one radio terminal and at least one radio transceiver device, each radio transceiver device defining a cell of the network being controlled by a network control device, by means of which the above mentioned drawbacks can safely be prevented.

Accordingly, in order to achieve the above object, the present invention provides a method for traffic load control in a telecommunication network consisting of at

least one radio terminal and at least one radio transceiver device, each radio transceiver device defining a cell of said network being controlled by a network control device; comprising the steps of setting a first reference load value for the load of a respective cell; monitoring the load of said respective cell, and in response to the load exceeding the first reference load value, manipulating the power control to decrease the transmission power levels in the cell.

Thus, due to the load reference value being defined, a first (fast) load control method can be activated when the first reference load value is exceeded. According to advantageous refinements, a second (slower) load control method can additionally be activated when i) the first reference load value is exceeded (simultaneous activation), ii) when a subsequent monitoring yields that the first (fast) load control did not reduce the traffic load below said reference value, or iii) when even with the first (fast) load control being activated the traffic load also exceeds a second reference load value. The second reference load value can be equal to or higher than the first reference load value.

In particular, the present invention describes a method of a fast (first) load control method, in that during an overload situation (load above a certain reference load value) the load is controlled or reduced, respectively, temporarily and per base station BS by affecting or manipulating power control commands (neglecting TPC commands in downlink, overwriting TPC commands in uplink).

In addition, as already mentioned, the above fast ("immediate") load reduction is supplemented by a "slow" (second) load control method in that the transmission bit

rates are affected to correct the overload situation in a more permanent manner, if the first load control method turned out to be not sufficient, or in that connections are being removed from the cell.

Favorable refinements of the present invention are as defined in the dependent claims.

Thus, the present invention provides a novel method for load control which is easy to implement in existing products an which prevents the above described drawbacks.

In particular, the present invention presents a simple load control method to be implemented into existing systems and/or devices, while different measures that can be initiated are harmonized with each other to present one simple method for traffic load control. The present invention provides a fast load control, i.e. first stage load control, respectively, and handled by a respective base station BS in a sector defined by the base station, which aims to temporary reduction of traffic load by denying download DL transmit power TPC commands and overwriting uplink UL transmit power TPC commands (or by reducing a target value for the energy per bit to noise power density ratio (Eb/N0) in the base station during overload) if overload is encountered. If this is still not sufficient to reduce the network traffic load, a second stage load control handled by a radio network controlling device of the network RNC will trigger other actions in order to reduce system load more permanently, for example by reducing bit rates. Thus, decentralized and centralized load control actions are advantageously combined in the proposed method.

Moreover, the proposed load control method can keep the system, i.e. the telecommunication network stable and throttle back the overall load in a controlled fashion.

Additionally, due to the fast first stage load control method, a load margin as the difference between an acceptable (target) load level and a maximum tolerable load level (threshold) can be reduced which increases the network system capacity and thus represents an advantage for the network operator. Target and threshold level could even be set to be identical.

The present invention will be more readily understood when read in conjunction with the description of the accompanying figures.

## BRIEF DESCRIPTION OF THE DRAWINGS

In the following, the present invention will be described with reference to the drawings, in which:

Fig. 1 shows a schematic and simplified block diagram of a telecommunication network;

Fig. 2 shows a diagram of an example of the applied reference load values for load control with reference to load control in uplink transmission; and

Fig. 3 (Figs. 3A, 3B, and 3C) illustrates graphically an example of the load control actions and signaling between network components involved, as a function of time, when the traffic load control method of the present invention is applied to a telecommunication system.

## DETAILED DESCRIPTION OF EMBODIMENTS OF THE INVENTION

The present invention is now described in detail with reference to the drawings.

A) General telecommunication network architecture

Fig. 1 of the drawings shows a schematic and simplified block diagram of a radio telecommunication network as an example of a telecommunication network to which the present invention can be applied. The network is not limited to specific type of network in order that the present invention may be applicable. Nevertheless, the following description assumes for explanatory purposes a third generation network, which is for example operated according to the principles of code divisional multiple access CDMA.

As depicted in Fig. 1, a subscriber terminal or mobile station MS communicates via an air interface or radio interface Iu, respectively, with one of a plurality of base stations BS as radio transceiver devices constituting the network. For illustrative purposes, only one mobile station MS and only one base station BS are illustrated, while actually, in a telecommunication network, a plurality of mobile and base stations are simultaneously present and in operation. The communication from the mobile station to the base station is referred to as uplink transmission UL, while the communication from the base station to the mobile station is referred to as downlink transmission DL.

Each respective base station BS as a radio transceiver device is provided with a load control means LC, which is adapted to carry out a first stage "1.LC" of the load control method.

Furthermore, the plurality of respective base stations are controlled by a radio network controller RNC as a network

control device. The radio network controller RNC and respective base stations exchange data (control data) via an interface Iub there between. In particular, as shown, the load control means LC of the base station and a load control means LC at the radio network controller RNC side exchange data via this interface.

The control means at the radio network controller side is adapted to carry out a second stage "2.LC" of the load control method.

While the first stage of the load control method mainly influences transmission power in uplink and/or downlink, the second stage of the load control method mainly influences the transmission capacity, for example, in terms of transmitted bit rates.

To this end, the load control means LC of the radio controller device controls a packet scheduling means PS and an admission control means AC of the radio network controller RNC.

The packet scheduling means PS is adapted to schedule the transmission of data packets, which represents a non-real time traffic component within the network since the data packets can be transmitted at selectable times at which transmission capacity is available in the network. Transmission of such data packets in non-real time NRT is also referred to as controllable user traffic, in contrast to non-controllable user traffic.

Non-controllable user traffic in turn means real time RT traffic caused by real time users like for example phone calls which are initiated by users at arbitrary chosen times that can not be controlled by the network controller.

Such real time traffic is handled and/or administrated by the admission control means AC of the radio network controller RNC.

The admission control means AC as well as the packet scheduling means PS, although not shown in Fig. 1, also access the Iub interface for data transmission to respective base stations.

In the above briefly described telecommunication network, traffic load is periodically monitored by monitoring a load indication parameter. The monitoring period is shortened in case the monitored load exceeds a reference load value. In the following examples described, the load indication parameter is mainly related to the power, i.e. in uplink UL, total interference power serves as load indication parameter, while in downlink DL, total transmission power serves as load indication parameter. Nevertheless, other parameters of a network system are conceivable as load indication parameters. The respective total interference power and total transmission power are determined and evaluated on a per sector basis. This means, for example for each sector as defined for example by a respective cell of the network, with the cell mainly corresponding to the coverage area of a respective base station.

B) Definition of parameters used for load control

For still better understanding of the subsequent explanations of the proposed load control method, in the following an overview is given of load related input and output parameters used in connection with the proposed method. The table lists in the left column the parameter name and in the right column the respective meaning thereof.

TABLE: OVERVIEW OF USED LOAD CONTROL PARAMETERS

| PARAMETER NAME | MEANING/DEFINITION |
|---|---|
| PrxTotal | - Total received power in UL,<br>- measured on cell basis (100ms-500ms)<br>- measured total received wideband interference power in the cell<br>- Source: BS<br>- Interface:<br>BS->MS, layer 3 (L3) radio resource (RR)/system information on BCCH;<br>BS->RNC/2.LC, L3 RR indication;<br>RNC/2.LC -> PS;<br>RNC/2.LC -> AC<br>- related functions:<br>admission control, load control, packet scheduling |
| PrxNoise | - uplink noise level in BS digital receiver<br>- radio network configuration parameter<br>- noise level in the BS digital receiver when there is no load (thermal noise + noise figure)<br>-Source: RNC/2.LC<br>- Interface: RNC/2.LC -> AC<br>RNC/2.LC -> PS<br>- related functions:<br>admission control, packet scheduling, load control |
| PtxDownlinkTotal_ Max | - maximum downlink power of BS transmitter<br>- fixed, BS performance specification<br>- the parameter defines the maximum downlink transmission power of the base station |

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| | - Source: RNC/2.LC<br>- Interface: - (none)<br>- related function: load control |
| EbNoPlannedUplink | - planned average uplink Eb/N0<br>- radio network planning parameter<br>- the parameter defines the panned average uplink Eb/N0-value. The parameter is dependent on the cell, bearer type (RT or NRT) and bit rate<br>- Source: RNC<br>- Interface: RNC internal<br>- related functions: admission control, load control, packet scheduling |
| GuaranteedBitRate_Uplink | - minimum guaranteed uplink bit rate<br>- determined for each radio access bearer<br>- Source: RNC/AC<br>- Interface: RNC/AC -> PS<br>- related functions: admission control, packet scheduling |
| GuaranteedBitRate_Downlink | - minimum guaranteed downlink bit rate for the radio access bearer<br>- determined on a per connection basis<br>- Source: RNC/AC<br>- Interface: RNC/AC -> PS<br>- related functions: admission control, packet scheduling |
| PrxNc | - received interference power from non-controllable users (in UL)<br>- load control, real time calculation<br>- load control estimates PrxNC from current PrxTotal and the interference of own cell NRT users (PrxNrt). PrxNc usually contains the interference and noise from the own cell RT-users, from |

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| | own cell NRT users with minimum guaranteed bit rate, from users in other cells and system noise. It is also possible to include all the interference due to own cell NRT users to PrxNRT<br>- Source: RNC/2.LC<br>- Interface: RNC/2.LC -> AC<br>RNC/2.LC -> PS<br>- related functions:<br>Admission control, load control, packet scheduling |
| PrxNrt | - transmitted power to NRT users<br>- packet scheduler, real time estimation<br>- packet scheduler estimates the transmission power which is need for the allocated NRT users<br>- Source: RNC/PS<br>- Interface: RNC/PS -> load control<br>- related functions: load control, packet scheduler |
| PrxChange | - change in received power due to new, released or modified bearer<br>- calculated whenever a RT bearer is added, released or modified<br>- Change in total received power. When the admission control admits a new RT bearer (or a RT bearer is released or modified), it estimates the change (PrxChange) in the total received power level<br>- Source: RNC/AC<br>- Interface: RNC/AC -> Load control<br>- related functions: admission control, |

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| | load control |
| LChangeUplink | - uplink load factor of new, released or modified bearer<br>- admission control, real time calculation<br>- uplink load factor of the new admitted bearer is used to estimate the change in total received power due to the new, released or modified bearer<br>- Source: RNC/AC<br>- Interface: RNC/AC -> Load control<br>- related functions: admission control, load control |
| LChangeDownlink | - downlink load factor of new, released or modified bearer<br>- admission control, real time calculation<br>- downlink load factor of the new admitted bearer is used to estimate the change in total transmitted power due to the new, released or modified bearer<br>- Source: RNC/AC<br>- Interface: RNC/AC -> Load control<br>- related functions: admission control, load control |
| PrxTarget | - target for received power (in uplink)<br>- radio network planning parameter<br>- target value for received total wideband interference power in a cell<br>- Source: RNC/2.LC<br>- Interface: RNC/2.LC -> PS<br>RNC/2.LC -> AC<br>- related functions:<br>admisison control, packet scheduling, load control |

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| PrxThreshold | - uplink load threshold for load control<br>- radio network planning parameter<br>- planned threshold for received total wideband interference power in the cell. The threshold is equal to PrxTarget + allowed margin. If load exceeds the threshold, load control starts to act.<br>- Source: RNC/2.LC<br>- Interface: RNC/2.LC - internal<br>- related functions: load control |
| LUplink | - uplink connection based load factor<br>- load control, real time calculation<br>- uplink connection based load factor is Eb/No divided by processing gain. The Eb/No can be either the measured Eb/No, Eb/NoAve, (in closed loop PC when UL TPC commands are overwritten in case of overload) or planned Eb/No, EbNoPlanned which is used if measured Eb/No is not available) or Eb/No setpoint, Eb/NoSetpoint, provided by outer loop PC.<br>- Source: RNC/2.LC<br>- Interface: RNC/2.LC -> AC,<br>RNC/2.LC -> PS<br>- Related functions: Admission Control, Load Control, Packet Scheduling |
| LTotalUplink | - total uplink load factor<br>- load control, real time calculation<br>- toatal uplink load factor is used to estimate the total received power. Total uplink load factor includes both load factors of own cell non- |

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| | controllable bearers and NRT bearers<br>- Source: RNC / Load control (2.LC)<br>- Interface: RNC:2.LC -> AC,<br>LC -> PS<br>Related Functions: Admission Control, Load Control, Packet Scheduling |
| LNcUplink | - uplink load factor of non-controllable users<br>- load control, real time calculation<br>- uplink load facto of non-controllable users is used to estimate the total received power from non-controllable users<br>- Source: RNC/ load control ("2.LC")<br>- Interface: RNC/2.LC -> AC<br>RNC/2.LC -> PS<br>- related functions: admission control, load control, packet scheduling |
| FractionalLoad | - Uplink fractional load, which can be calculated from PrxToral and PrxNoise.<br>- load control<br>- Source: RNC/ 2.LC<br>- Interface: RNC/2.LC -> AC<br>- related functions: admission control, load control |
| OtherToOwnPrxTotal | - Uplink other-cell-to-own-cell interference ratio<br>- measured on cell basis (100ms-500ms)<br>- the parameter is the current average other-cell-to-own-cell interference ratio, which is used in estimation of the power increase due to change in bit rates of NRT-bearers by packet scheduler or new, released or modified bearer by admission control |

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| | - Source: BS<br>- Interface:<br>BS-> RNC/2.LC, L3 / RR indication<br>RNC/2.LC -> AC<br>RNC/2.LC -> PS<br>- related functions:<br>admission control, load control, packet scheduling |
| PtxTotal | - Total transmitted power in DL<br>- measured on cell basis (100ms-500ms)<br>- total transmitted power in a cell measured by BS<br>- Source: BS<br>- Interface:<br>BS->RNC/2.LC, L3 / RR indication<br>RNC//2.LC -> AC<br>RNC/2.LC -> PS<br>- related functions:<br>admission control, load control, packet scheduling |
| PtxNC | - transmitted power to non-controllable users (in DL)<br>-load control, real time estimation<br>- load control estimates PtxNc from current PtxTotal and the interference of the own cell NRT users (PtxNrt). PtxNc usually contains the transmission power from BS to RT-users and NTRT-users with minimum guaranteed bit rate. It is also possible to include all the needed/used transmission power to NRT users to PtxNRT<br>- Source: RNC/2.LC<br>- Interface:<br>RNC/2.LC -> AC |

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|---|---|
| | RNC/2.LC -> PS<br>- related functions:<br>admission control, load control, packet scheduling |
| PtxNRT | - transmitted power to NRT-users (in DL)<br>- packet scheduler, real time estimation<br>- packet scheduler estimates the transmission power which is needed for the allocated NRT users<br>- Source: RNC/PS<br>- Interface: RNC/PS -> Load control<br>- related functions: packet scheduling, load control |
| PtxChange | - change in transmitted power due to new , released or modified bearer<br>- calculated whenever a RT bearer is added, released or modified<br>- change in total transmitted power. When the admission control admits a new RT bearer (or a RT bearer is released or modified), it estimates the change (PtxChange) in the total transmitted power level<br>- Source: RNC/AC<br>- Interface: RNC/AC -> load control<br>- related functions: admission control, load control |
| PtxTarget | - Target value for transmitted power<br>- radio network planning parameter<br>- target (in downlink) for transmitted power in a cell. (e.g. 10-20W)<br>- Source: RNC/2.LC<br>- Interface: |

| | |
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| | RNC: 2.LC -> PS<br>2.LC -> AC<br>- related functions:<br>admission control, load control, packet scheduling |
| PtxThreshold | - downlink load threshold for load control<br>- radio network planning parameter<br>- planned threshold for transmitted power in a cell. Threshold is equal to PtxTarget + allowed margin. If load exceeds the threshold, load control starts to act.<br>- Source: RNC/2.LC<br>- Interface: RNC/2.LC -internal<br>-related functions: load control |
| AveTrxPower | - averaging period for total received and transmitted power<br>- radio network configuration parameter<br>- the parameter defines the averaging period which is used by the BS when it calculates both, the total received power level (PrxTotal) and the total transmitted power level (PtxTotal)<br>Source: RNC<br>Interface: RNC -> BS |
| RRIndicationPeriod | - Reporting period of radio resource indication (e.g. 20 ms to 500 ms)<br>- radio network configuration parameter<br>- the parameter defines the reporting period of the *Radio Resource Indication* messages<br>Source: RNC<br>Interface: RNC -> BS |
| EbNoMeasured | - Average measured Eb/N0 |

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| | - measured on radio link basis<br>- This parameter can be used to evaluate the interference caused by the connection. This parameter is more accurate than the Eb/N0 target set by outer loop power control since this parameter does not have (much) bias and the EB/N0 target is not the same as the real received Eb/N0. Averaging over e.g. one frame could be used<br>- Source: BS<br>- Interface:<br>BS -> RNC/ power control PC, Frame control layer FLC<br>RNC: PC -> 2.LC, PC-> AC, PC -> PS<br>- related functions:<br>admission control, load control, packet scheduling |
| EbNoPlanned_Down-link | - planned average downlink EB/N0<br>- radio network planning parameter<br>- the parameter defines the planned average downlink Eb/N0-value. The parameter is dependent on the cell, bearer type (RT or NRT) and bit rate<br>- Source: RNC<br>- Interface: RNC internal<br>- related functions:<br>admission control, load control, packet scheduling |
| PtxAverage | - average transmitted power per connection (in DL)<br>- measured on connection basis<br>- Source: BS<br>- Interface:<br>BS->RNC/2.LC, L3 / RR indication |

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|---|---|
| | RNC//2.LC -> AC<br>RNC/2.LC -> PS<br>- related functions:<br>admission control, load control, packet scheduling |

C) General Description of Load Control Functionality

Thus, based on the above overview of the network architecture and the used parameters for load control, the function of the load control method is described below.

It is to be noted that the entire function realized by the load control method is achieved by the combination of the two stage traffic load control, i.e. load control means located in the respective base stations BS as well as in the radio network controller RNC.

If the telecommunication network system is properly planned and admission control as implemented by the admission control means AC works sufficiently well, overload situations should be exceptional, not the rule. However, if an overload situation is encountered, carrying out the proposed load control method results in returning the system back to the feasible state, i.e. the currently used system radio resources

- UL total interference power (per sector)
- DL total transmission power (per sector)

are below planned load control reference values (target and/or threshold values), which indicate overload situation.

The prevention of such overload situations is mainly handled by the admission control means AC and due to a proper setting of load target (also referred to as first

reference load value) and threshold in the course of radio network planning (RNP), and also by the implemented load control function.

A load target (reference load value) is set in the course of radio network planning RNP so that it will be the optimal operating point of the system load, up to which packet scheduling means or packet scheduler PS, respectively, and admission control means AC can operate.

Instantaneously this target load will and can be exceeded due to changes of interference and propagation conditions. If the system load will however exceed load threshold, the load control method will return the load below that threshold. Load control actions are always an indication of an overloaded cell and/or sector of the respective base station BS and the load control actions will lower the system capacity in a to some extent undesirable and not fully predictable way.

An area named load area from load target to load threshold ("marginal load area in Fig. 2) can be seen as very valuable soft capacity of the system (e.g. an WCDMA system), which is wanted to be fully exploited. The load control functionality is located both in the base station BS (1.LC) and in the radio network controller RNC (2.LC).

In the base station BS the load control can be realized either in a distributed manner for each Channel Element (CE) or in a centralized and optimized manner in a corresponding Base Station Control Unit (BCU) in BS, which controls channel elements.

The load control method according to the present invention can do following actions, in order to reduce load:

- manipulate, i.e. deny (DL) or overwrite (UL) TPC commands (TPC = transmit power commands), or reduce a target value of Eb/N0 in the base station either using base station control unit BCU or in distributed fashion by each channel element itself (located in base station, i.e. "1.LC" in Fig. 1)
- interact with packet scheduling device PS and throttle back NRT traffic
- lower Eb/No target for selected real-time (RT) users
- lower bit rates of real-time users within a transport format set (TFS)
- stop transmission of the most critical downlink DL connections for a while
- perform and/or initiate hand-over to another carrier
- re-negotiate real-time services to lower bit rates
- drop calls in a controlled fashion.

The individual possible load control method steps are presented above in the order of the usage. This means that first fast load control (first stage) in the base station BS is used, then additionally using the second stage load control in the radio network controller RNC, the packet scheduling device PS is commanded to reschedule non-real time (NRT) transmission and so on.

Nevertheless, the present invention is not restricted to the above presented order of usage of individual method steps. Namely, all individual steps can be combined in a convenient order that exhibits best results for respective application cases, so that any possible combination of the above listed method steps is conceivable and can be implemented, if desired, without difficulty. In particular, it should be noted that although the above description has been made with a focus on using two reference load values,

one reference load value is sufficient for the proper realization of the proposed method. Namely, due to the load reference value being defined, a first (fast) load control method can be activated when the first reference load value is exceeded. The second (slower) load control method can additionally be activated when i) the first reference load value is exceeded (simultaneous activation), ii) when a subsequent monitoring yields that the first (fast) load control did not reduce the traffic load below said reference value, or iii) when even with the first (fast) load control being activated the traffic load also exceeds a second reference load value. (The second reference load value can be equal to or higher than the first reference load value.)

The overload threshold PrxThreshold for uplink (and/or PtxThreshold for downlink) as the second reference load value is a point determined by the radio network planning RNP such that it is at a value given in decibels (dB's) over noise floor in uplink (and/or downlink). The noise floor is predetermined on base station BS basis, i.e. per sector or cell. By setting this threshold the radio network planning guarantees that the coverage is retained in case the cell shrinking is utilized as a load control method. In the most simplest form of load control, the base station BS (1.LC of BS) just commands all or some mobile station terminals MS to drop powers for uplink overload. For downlink, the base station BS (1.LC of BS) at least denies to increase powers, and may also decrease power, as set out in greater detail further below. If this is not enough during longer time period, some of the load control method steps of the radio network controller RNC side (actions of 2.LC in RNC) presented in this document can/will be used.

In addition to the above load control method steps, the load control of the radio network controller RNC is also responsible for updating and providing to the admission control means AC and packet scheduling means PS the load related information, which is available in the radio network controller RNC (i.e. load vector). This information includes the above explained parameters PrxTotal, PtxTotal, PrxNc, PtxNc, PrxChange, PtxChange, FractionalLoad, LUplink, LTotalUplink, PtxAverage and OtherToOwnPrxTotal.

The total uplink interference power PrxTotal and total downlink transmission power PtxTotal are reported periodically (e.g. every 100 ms or even more seldom) to the radio network controller RNC from base station BS by using radio resource (RR) indication by using a layer three signaling.

The total uplink interference power of non-controllable users PrxNc, and the total downlink transmission power of non-controllable users PtxNc is calculated as follows:

PrxNc = PrxTotal - PrxNrt, and PtxNc = PtxTotal - PtxNrt.

PrxNrt is the estimated total interference power of NRT-users and PtxNrt is the estimated total transmitted power of NRT-users. Both parameters are provided by the packet scheduling means PS. Alternatively, PrxNrt and PtxNrt can be calculated in that the connection based minimum guaranteed bit rate is subtracted from the bit rate of each non-real time user. In this case, for example, PrxNrt includes the estimated total interference of bits allocated additionally to non-real time users above their minimum guaranteed bit rates.

PrxChange (in UL) and PtxChange (in DL) are the estimated power increments due to new bearers admitted by the admission control means AC. PrxChange and PtxChange are set to zero when new values for PrxTotal and PtxTotal are received. Before that, according to the proposed load control method, the load control means LC sums PrxChange to PrxNc, and PtxChange to PtcNc in order to keep track on changed load situation. The Fractional load is calculated from noise rise (PrxTotal/System Noise) as is explained in the equations mentioned in the annex to this specification. OtherToOwnPrxTotal (other to own cell interference ratio (cf. also annex) is the other cell interference power divided by own cell interference power, where other cell interference power is the total interference power PrxTotal subtracted by the own cell interference power and system noise. Own cell interference power is the uplink UL loadfactor LTotalUplink multiplied by PrxTotal, where in addition LTotalUplink is sum average of measured Eb/No's divided by processing gains of active bearers (with Eb/N0 denoting the energy per bit to noise power density ratio).

LUplink contains the connection based load factor. If this cannot be reported from the base station BS to the radio network controller RNC, values provided (i.e. set) by the radio network planning RNP are used. PtxAverage is the average transmitted power per connection basis. Both FractionalLoad and OtherToOwnPrxTotal (which parameter OtherToOwnPrxTotal is not necessarily required for the proposed load control methods) are calculated in the base station BS and then reported periodically (e.g. every 100 ms) to the radio network controller RNC from the base station BS by using RR indication.

D) Load Control Method

In the following an example for a load control in uplink as well as in downlink is described. Nevertheless, other combinations of the individual method steps can be implemented without difficulty, as stated already herein above.

D)I) Uplink Load Control Method

The task of uplink load control is to keep the total uplink interference power of a sector (corresponding, e.g., to a coverage area of a base station BS) below some given overload threshold, called here PrxThreshold, which is considered to be the point after which the system is in overload.

In Fig. 2 is presented a schematic example of an uplink load curve, i.e. mapping from fractional load to total wideband interference at a digital receiver of the base station in the sector. From Fig. 2 can be seen graphically the exponential growth of PrxTotal as a function of increase of fractional load.

The planned target load as a first reference load value in uplink is denoted by PrxTarget, and an overload situation is encountered if PrxTotal exceeds PrxThreshold as a second reference load value.

PrxTarget itself can in an alternative implementation further be split into two values: PrxTargetNC (for non controllable i.e. real time RT users) and PrxTargetNRT (for non-real time users). In this case, the following relation is defined to hold: PrxTarget = PrxTargetNC + PrxTargetNRT. Usually, the load originating from real time users, the interference originating from other cells, the system noise and load attributable to non-real time users with minimum

guaranteed bit rate are planned to be less or equal to PrxTargetNC. In this case, PrxTargetNRT includes interference due to the bit rates assigned for transmission to non-real time users which bit rates exceed the minimum guaranteed bit rates. For example, the packet scheduling means PS has allocated 64 kbit/s bit rate for certain non-real time users, whose minimum guaranteed bit rate is only 16 kbit/s. The difference 64 - 16 = 48 kbit/s is the difference which causes load and interference PrxNRT. PrxNRT is then planned to be less or equal to PrxTargetNRT, and if PrxNRT exceeds PrxTargetNRT, load control actions for only NRT users can be initiated (like for example TPC-command modifications, reduction of bit rates exceeding minimum guaranteed bit rates by the packet scheduling means PS, etc.). Then, PrxNC can be assumed to contain load due to real time RT users, load due to NRT users operated with the minimum guaranteed bit rate, the interference caused by other cells, and system noise, and PrxNC is planned to be below or equal PrxTargetNC. If, however, this value is exceeded, load control actions can be initiated for concerned users, i.e. non-controllable, real time users. Nevertheless, it is in most cases such that the reference value PrxTarget is not split for real time and non-real time traffic.

If the load threshold, PrxThreshold, and/or the load target, PrxTarget, is exceeded, the load control means 1.LC of the base station BS and 2.LC of the radio network controller RNC start to react by using the following tools:

1. Firstly, each base station BS individually starts to overwrite/modify uplink transmit power control commands, both for non-real time (NRT)-users and for real-time (RT)-users as follows

- For NRT-users (Equation (1)):

TPC_REFERENCE

$= [(Eb/N0)/(Eb/N0\_Target)][PrxTotal/PrxTarget]^{n1}$,
when PrxTotal ≤ PrxThreshold, and

= 1, when PrxTotal > PrxThreshold, where 0 ≤ n1,

or

$= [(Eb/N0)/(Eb/N0\_Target)][PrxThreshold/PrxTarget]^{n1a} * [PrxTotal/PrxThreshold]^{n1b}$, when PrxTotal > PrxThreshold, where 0 ≤ n1a, 0 ≤ n1b, and usually n1a≤n1b, and if TPC_REFERENCE ≥ 1, then a TPC command is set to -1, TPC_COMMAND = -1, while otherwise a transmit power control command is set to +1, TPC_COMMAND = 1. This means that the transmit power is either decremented (TPC_Command = -1) by a certain step ΔTPC, or incremented by the corresponding step (TPC_Command = 1).

- For RT-users (Equation (2)):

TPC_REFERENCE

= [(Eb/N0)/(Eb/N0_Target)] ,
when PrxTotal ≤ PrxThreshold, and

$= [(Eb/N0)/(Eb/N0\_Target)][PrxTotal/PrxThreshold]^{n2}$,
when PrxTotal > PrxThreshold,

where 0 ≤ n2,
and if TPC_REFERENCE ≥ 1, then TPC_COMMAND = -1, otherwise TPC_COMMAND = 1, which likewise means that the transmit power is either decremented (TPC_Command = -1) by a certain step ΔTPC, or incremented by the corresponding step (TPC_Command = 1)

The "idea" and meaning of equations (1) and (2) resides in that in the uplink the closed loop power control is further stabilized with a weak power feedback, namely, an increase in total interference power level (PrxTotal) causes a

slight decrease in Eb/No. This power feedback makes the whole system stable under temporary overload condition. When Eb/No values of the active own cell connections (i.e. connections active in a respective cell of interest) decrease, the total uplink interference power level will decrease, too, and the state/load of the system will return back to the feasible and/or marginal load area (PrxTotal below PrxThreshold).

When the above indicated action is applied in a situation in which the system faces an overload situation, the load control "2.LC" in the radio network controller RNC is reported the overload situation. In this case, the load control LC denies the outer loop power control (PC) to increase Eb/No targets in order to avoid unnecessary increase of Eb/No targets because of artificial reduction of bearer quality by the load control LC.

The algorithm presented in the equations (1) and (2) above starts in a first stage of load control to decrease slowly the Eb/No of NRT-users, when the system load exceeds the target load (i.e. PrxTotal>Target), but this action should be very gentle or could even be parameterized out by choosing for example n1=0. If PrxTotal exceeds PrxThreshold (i.e. the sector is in overload situation), in a second stage of load control the power down commands will be sent to the mobile terminals MS in case of a respective NRT-user, in which case Eb/No's of NRT-users will be reduced until overload is overcome (i.e. PrxTotal<PrxThreshold). In an overload situation the Eb/No's of RT-users are gently reduced based on the method presented in equation (2), i.e. by transferring the operating point of the fast closed loop PC by n2*(PrxTotal-PrxThreshold) dB. For example if n2=0.25,

PrxTotal=9dB and PrxThreshold=6dB, the power up/down threshold for fast closed loop PC will be

$$[(Eb/N0)/(Eb/N0\_Target)]*2^{0.25} \approx 1.2*[(Eb/N0)/(Eb/N0\_Target)]$$

which indicates 20% smaller Eb/No's.

It may also be possible to be decided by base station control unit (BCU) of which connections the power is to be reduced by overwriting uplink transmit power control commands (UL TPC commands). In this case the power of most critical connections (biggest load factor LUplink or biggest measured average Eb/No) will be reduced. However then the base station control unit should be reported the Eb/No's and bit rates of each connection. It is also possible that the base station control unit BCU determines which connections are the most critical connections and then overwrites the Eb/N0 target values for those connections so as to be smaller than before the overload situation occurred (e.g. 0.5 dB smaller).

An additional point to be considered, especially for fast load control (first stage) in base stations BS is that there is only one closed loop power control PC running for multi-bearers. Therefore, reduction of power has to be effected code channel based, in which case RT and NRT bearers have to be dealt with together and not separately.

Possible priorities of the bearers can be taken care of by a couple having different values for n1 (or n1a and n1b) and n2 as a function of the priority. For example there can be three different values: n1=n2=1/2 (smallest priority class), n1=n2=1/4 (second priority class) and n1=n2=0 (biggest priority class).

Alternatively, the values of n1 (or n1a and n1b) and n2 can depend on the average used bit rate, so that bigger values are used for bigger bit rates (more power is in the average reduced). For example there can be three different values: n1=n2=1/2 (biggest bit rate class), n1=n2=1/4 (second biggest bit rate class) and n1=n2=0 (smallest bit rate class).

Furthermore, if the values of n1 and n2 are desired to be dependent on both, priorities and bit rates in combination, it is conceivable in an easiest way to use the calculated maximum of the values of n1 and n2 (e.g. second priority: n1=1/4 and biggest bit rate: n1=1/2, in which case the final value of n1=1/2 results as the maximum). If real time RT and non-real time NRT bearers are multiplexed together, an RT bearer is usually dominant, so that the TPC command modifications affect only for RT bearers. Moreover, it is also possible that each channel element reduces the Eb/N0 target value by m1 (e.g. 0.5 dB), in case of a NRT connection with PrxTotal having exceeded PrxTarget but being still below PrxThreshold (PrxTotal ∈ ]PrxTarget, PrxThreshold[), and by m2 (e.g. 1 dB) in case of a NRT connection and if PrxTotal exceeds or is equal to PrxThreshold, or by m3 (e.g. 0.5 dB) in case of a RT connection and PrxTotal exceeds or is equal to PrxThreshold.

The effect of incorporation of this idea will replace the need of base station control unit BCU actions.

However, it should be noted that although in the above examples the parameters n1 and n2 were described as assuming the same value, it is also possible to use respective different values for these parameters. This will

lead to a preference of some traffic component, i.e. real time or non-real time traffic dependent on the chosen parameter value.

2. Interacting with the packet scheduling means PS and throttling back non-real time traffic (NRT traffic). This is done by the load control means "2.LC" of radio network controller RNC. In response, the packet scheduling means PS will decrease bit rates of the non-real time traffic component, so that PrxTotal will be reduced below PrxThreshold by using an uplink power increase estimator. In this way, the real time traffic component or RT-traffic component, respectively, is implicitly preferred when compared to the NRT-traffic component.

In this connection, it is also beneficial to reduce NRT bit rates when PrxTotal Exceeds PrxTarget + PrxDelta, with PrxDelta greater or equal to zero. In such a case, bit rates are reduced so that the monitored load indication parameter PrxTotal will assume a value below or equal to PrxTarget, or stated in other words PrxTarget ≥ PrxTotal. (The same kind of control scheme may be adopted in downlink direction, too, if PtxTotal is greater than PtxTarget + PtxDelta, with PtxDelta greater or equal to zero, then NRT bit rates are reduced.)

3. Reducing bit rates of real time users (RT-users) in already negotiated bit rate set, i.e. within the transport format set (TFS). In other words, limiting the transport format TF in the transport format set TFS. The load control means "2.LC" of the radio network controller RNC does this action. In this connection, it will however be necessary for the load control means LC to know whether the service can subsequently handle smaller bit rate than the service

currently uses, even in case of variable bit rate circuit switched services.

The load control "1.LC" of the base station BS makes the first correction to the system load by lowering rapidly, but perhaps only temporarily, the average power of uplink users. Subsequently additionally lowering bit rates of NRT and RT-users does the final correction to the system load by the load control "2.LC" of the radio network controller RNC.

Therefore, if the reduction of NRT bit rates was not enough and the system is still in overload, the load control means "2.LC" of the radio network controller RNC starts to reduce bit rates of RT-users until overload is overcome. It is possible to reduce at once the estimated new PrxTotal clearly below PrxThreshold by lowering bit rates instead of adjusting the new total interference power to PrxThreshold. This may make the system more stable (no altering around PrxThreshold). In this case the bit rates are reduced until the estimated new PrxTotal is some margin below PrxThreshold, i.e. PrxTotal < PrxThreshold-PrxOffset, where PrxOffset is between zero and PrxThreshold-PrxTarget.

The reduction of uplink bit rate is always rather time-consuming, because the change in bit rate has to be signaled to a respective mobile station MS. The reduction of bit rates of RT-users can be implemented a bit differently based on fairness policy used. Therefore, bit rates of most critical connections (biggest load factor) are reduced proportionally either more or equally than bit rates of less interfering connections. Such calculations are based on the use of a power increase estimator means, which is not to be discussed here. The bit rate reduction method as explained above could be formulated as follows:

while (PrxTotal > PrxThreshold-PrxOffset)
reduce the bit rate of RT-users whose load factor is the biggest to the previous bit rate which is possible within TFS
end

4. Re-negotiating through the admission control means AC the RT-services to lower bit rates, which are not in the bit rate set of the transport format set TFS, or to lower the minimum bit rate of NRT-services.

This is done by the load control means of the radio network controller, if previous load control actions did not result in a sufficient load reduction. This action is otherwise similar to previous action, but now the bit rates are tried to be re-negotiated lower through the admission control means AC. This action is however rather time-consuming and does not help to temporary/immediate overload.

5. Temporarily stopping uplink UL data transmission, if a certain number of consecutively received radio frames (of 10 ms duration each) are so-called bad-frames the transmission of which was "not o.k."). This means that if k1 consecutive donwlink DL radio frames were "not o.k." (k1 for example being 10), then a mobile station MS will stop the data transmission (the dedicated physical data channel DPDCH is disabled) in uplink, and only the dedicated physical control channel DPCCH is maintained active. When the mobile station MS receives again k2 consecutive radio frames (of 10ms duration), k2 being for example 2, the uplink transmission is enabled again. Such an action is beneficial when uplink as well as downlink are overloaded because in that case layer 3 signaling from the load

control means of the radio network controller to the mobile station may fail.

6. Dropping calls in a controlled fashion. If bit rates cannot be re-negotiated to a lower level anymore and the system is still in overload situation, which means that PrxTotal > PrxThreshold, the load control means "2.LC" of the radio network controller RNC drops randomly selected RT-users or RT-users which have biggest load factor (most critical connections) until system load is under PrxThreshold-PrxOffset, where PrxOffset can be also zero.

The dropping of calls in uplink can be quite time-consuming when the mobile station MS is signaled via layer three signaling to stop the connection. This can be also so that a connection is put into a discontinuous transmission mode DTX and power down commands are only sent to the mobile station MS.

Actions or method steps, respectively, (2) to (6) can be very briefly and simply described as follows (while it has to be noted that action (3) is not always possible since the radio network controller does not necessarily have a knowledge of whether the current (RT) application can tolerate lower bit rates within its transport format set TFS if the application itself requests a bigger bit rate):

If PrxTotal = PrxNc + PrxNrt > PrxThreshold
    then reduce NRT bit rates
    until PrxEstimated = PrxNc + ΔPrxNrt
$= \mathrm{PrxNc} + \mathrm{PrxNrt}_{new} - \mathrm{PrxNrt}_{old}$
$\leq \mathrm{PrxThreshold}$
if still PrxEstimated > PrxThreshold
    then try to re-negotiate RT bit rates to lower bit rates until PrxEstimated ≤ PrxThreshold

if still PrxEstimated > PrxThreshold

then drop/stop most critical bearers (minimum priority/ maximum load factor) until PrxEstimated ≤ PrxThreshold

The above method steps can be interpreted so that first PrxNrt is reduced by amount of ΔPrxNrt by the packet scheduling means PS. The new total power estimated will then be PrxEstimated=PrxTotal+ΔPrxNrt and if that is still above PrxThreshold, then bit rates of RT-users are reduced within their transport format set TFS. If even that is not enough, bit rates of some RT-users are tried to be re-negotiated. Naturally, if at the last time all the NRT-traffic was throttled back and new measured PrxTotal received by the load control means of the radio network controller RNC from the load control means of the base station BS by using an OverLoadIndication (based on RR Indication), bit rates of RT-users are touched and so on.

D)II) Downlink Load Control Method

In the following there is presented a simple way to implement downlink load control. The task of downlink load control is to keep the total downlink transmitted power of a sector (e.g. cell of a base station) below a given load threshold as a second reference load value (PtxThreshold) provided and/or set by the radio network planning RNP. This load threshold, PtxThreshold is considered to be the limit after which the downlink transmission DL is in overload, which means that the total transmitted power is too much. The same signaling between the load control means of the radio network controller RNC and the load control means of BS is used in downlink DL as in uplink UL. (The principles of the signaling will be explained in the subsequent section.) Also, the downlink load control method in terms

of realized function and effect achieved is closely related to the one adopted in uplink:

1. If overload is encountered in DL, namely if PtxTotal exceeds PtxThreshold, then the load control means LC of the base station BS will sent an overload indication to each active channel element. This indication includes PtxTotal or PtxTotal/PtxThreshold. Then, in respective channel elements the fast closed loop power control (PC) starts to deny downlink DL transmit power commands (TPC commands) both for NRT-users and for RT-users. If PtxTotal exceeds PtxThreshold, the transmission power of NRT-users is in each slot reduced (decremented) by the fast closed loop PC step size and the power of RT-users is decreased or kept the same (not changed if normal power control PC action would be power increase, thereby at least not increasing the power). By using this method, the total transmitted downlink DL power of a sector cannot exceed PtxThreshold. This is the proposed fast downlink DL load control method as implemented in the base station BS.

If total measured transmission power of the sector is between PtxTarget and PtxThreshold (i.e. in the so called marginal load area, cf. Fig. 2), slower and downwards biased power control is used for NRT-users. This means that power is reduced if n3 (e.g. n3=1) consecutive TPC commands of "-1" are received, and power is raised or increased only, if n4 (e.g. n4=2) consecutive TPC commands of "+1" are received, while otherwise power is untouched. If downlink power control is wanted to be unbiased in marginal load area, n3 and n4 are selected to be the same. The idea behind such slower power control is prevention of rapid changes in powers.

In addition, there is possibility that linear power amplifier limit (the maximum base station BS transmission power) is reached. In this case total downlink transmission power, PtxTotal, cannot anymore be increased and the transmission power of each user is implicitly reduced by the same percentage so that PtxTotal equals the maximum BS transmission power.

2. Interacting with the packet scheduling means PS and throttling back non-real time (NRT) traffic. This is done by the load control means LC located in the radio network controller RNC. The packet scheduling means PS will decrease NRT bit rates, so that PtxTotal will be below PtxThreshold. This is done by using downlink power increase estimator.

3. Reducing bit rates of real time RT-users in an already negotiated bit rate set, i.e. within the transport format set (TFS). The load control means LC of the radio network controller RNC effects this action. The bit rates are reduced so that downlink DL transmission power will be below PtxThreshold or PtxThreshold-PtxOffset. In the latter case, the downlink DL total transmission power is reduced a given margin below the load threshold in order to prevent new overload situation immediately.

The reduction of DL bit rate of RT-users can be implemented either such that the load control means instructs respective real-time (RT) users to use a lower bit rate which is possible within the transport format set TFS, or such that the load control means LC instructs only the most critical RT bearers (having the biggest DL Perch Ec/Io or biggest average transmission power (if this information is available in DL)) to reduce their bit rates within their transport format set TFS. The latter is proposed. The

calculation is based on the use of downlink power allocation. The method steps in this regard of the bit rate reduction method in downlink DL can be expressed as follows

```
while (PtxTotal > PtxThreshold-PtxOffset)
     reduce the bit rate of RT user whose average
     transmission power is the biggest to the previous
     possible bit rate within TFS
end
```

4. Re-negotiating through the admission control means AC the RT-services to lower bit rates, which are not in a bit rate set of the transport format set TFS or to lower the minimum bit rate of NRT-services. This is done by the load control means LC of the radio network controller RNC if previous load control actions were not enough and/or sufficient. This action is otherwise similar to previous the action, but now bit rates are tried to be re-negotiated lower through the admission control means AC. The re-negotiation of bit rates is a rather slow action (e.g. 1s), because it is rated from load control means LC to admission control means AC and further to a call control means (CC, not shown) and has to be signaled to the mobile terminals MS.

5. Temporarily stopping the downlink DL data transmission of certain (e.g. most critical) real time users. This means that in downlink the dedicated physical data channel DPDCH is turned off (disabled) and that in downlink only the dedicated physical control channel DPCCH is maintained in an active state. If this measure contributes to a reduction of the load such that after a certain time (measured by a timing means for example), the system is no longer in overload, the downlink DPDCH can be reactivated, while otherwise, after the lapse of the certain time, also the

DPCCH is disabled and the user is thus ("completely") dropped or disconnected, respectively.

6. Dropping calls in a controlled fashion. If bit rates cannot be re-negotiated to a lower level anymore and the system is still in an overload situation, which means that PtxTotal > PtxThreshold, then the load control means "2.LC" of the radio network controller RNC drops (i.e. instructs the base station BS to drop) randomly selected RT-users or RT-users which have biggest load factor, until system load is under PtxThreshold-PtxOffset, where PtxOffset can be zero. The dropping of a call in downlink DL is much easier than in uplink UL because the mobile terminal MS does not need to be signaled to this effect, but the base station BS can just stop transmission to that mobile terminal MS. Although not expressly mentioned above, it is to be understood that in case of several RT users having a biggest load factor, among these a random selection is conducted to select the RT user connection to be dropped, so that the above indicated measures can be combined. The same combination of those measures is of course also possible in uplink load control.

The maximum possible transmission power of a respective base station BS (maximum output of linear power amplifier; LPA) will be something like 20W (43.0dBm), for example. Thus PtxTarget is obviously below this value, but PtxThreshold is possibly reasonable to be selected so as to be the same as the maximum of the linear power amplifier LPA output. The proper setting of PtxTarget and PtxThreshold on a per sector (cell) basis is very difficult and is left to radio network planning RNP. Some initial values which according to the inventor's experience appear to be applicable are indicated as the following example values: PtxTarget 15W (41.8dBm) and PtxThreshold 20W

(43.0dBm). Based on first experimental results, PtxTotal can vary very much as a function of traffic (several dozens of Watts). This makes an intelligent admission control to be difficult, but emphasizes the need for the use of downlink load control.

E) Load related messages and signaling

There will be in total two different load related messages over Iub interface from a respective base station BS to the radio network controller RNC:

a) Simple radio resource (RR) indication procedure.

This means that there is only the periodic cell specific reporting procedure over Iub (using layer three signaling), i.e. reporting of periodically monitored load indication parameters. The load information updating period should be short enough (in maximum the same order as an average packet scheduling period). However, especially in overload cases (in case of exceeded reference load value) the requirement for reporting immediately (e.g. every 10-30 ms) some load information or at least an overload indication as an indication of the current state of the network from the base station BS to the radio network controller RNC is important for the load control means LC of radio network controller RNC. Similarly the packet scheduling means should be provided with updated load information in order to make right packing decisions.

This message/signaling amount as well as the response requirement does, however, not succeed with hardware resources. Therefore there is a need for separate load reporting procedure for overload situations.

b) Overload indication procedure.

This overload indication and overload indication includes information about PrxTotal, PtxTotal and possible load information about most critical connections (at least code ID, bit rate and measured Eb/No or directly load factor LUplink= Eb/No divided by processing gain).

This is send by the load control means "1.LC" of a respective base station BS to the load control means "2.LC" of the radio network controller RNC in order to throttle back non-real time NRT traffic, reduce bit rates for real-time RT calls within the transport format set TFS or by re-negotiating bit rates or to drop calls in controllable fashion. After having received this message the load control means "2.LC" of the radio network controller RNC reports to the admission control means AC and the means of outer loop power control PC of the radio network controller RNC about the overload. In this case, the admission control means AC does not admit new bearers and the means for outer loop PC does not increase Eb/No target before the load control means of the radio network controller RNC has canceled and/or invalidated the overload indication. Very short response requirement supports to locate the load control means close to the power control PC and packet scheduling means PS, if the overload indication message is directed first to the load control.

It would be even possible (as an alternative to the above mentioned) to sent the overload indication to the means for outer loop power control PC of the radio network controller RNC from respective channel elements by using frame control layer signaling FCL in order to deny outer loop power control PC actions. This, however, will cause too much signaling overhead and therefore radio resource RR indication procedure (layer three signaling) is preferred and sufficient.

F) Uplink load control and associated signaling: example

In Fig. 3 (Fig. 3A to 3C) there is presented the principle of the proposed load control method in uplink graphically.

The horizontal dimension reflects to the different radio resource management RRM functions (and/or corresponding devices) which concern the load control method steps. The vertical dimension represents time and thus indicates when the different load control method steps are carried out, i.e. when the respective actions take place.

The figure can be interpreted so that (Fig. 3A) when the overload is encountered (PrxTotal>PrxThreshold) in the load control means "1.LC" of the base station BS, an overload indication ("limiting info") containing needed information (measured, i.e. monitored PrxTotal and load threshold PrxThreshold or PrxTotal divided by PrxThreshold) to the means for fast closed loop power control PC (provided for each channel element). Then the means for closed loop power control PC will overwrite normal transmit power control TPC commands by using the method steps presented above and send an acknowledgment message back to the load control means LC of a respective base station BS. After that an overload indication including required load information (PrxTotal etc.) is sent to the load control means LC of the radio network controller RNC via the BSAP interface (layer three signaling).

Then (cf. Fig. 3B) the load control means LC of the radio network controller RNC provides the admission control means AC, and the packet scheduling means PS and the means for outer loop power control PC with this overload indication and the above-mentioned load control actions are carried out at the radio network controller RNC side (namely, reduction of NRT and RT bit rates etc.).

The load control means of the radio network controller RNC will also send an acknowledgment to LC of BS that it has been informed about the overload (Fig. 3C).

Fig. 3 has exemplified the proposed method for the uplink direction, while it is to be understood that similar signaling and actions will take place in the downlink direction.

G) Conceivable modifications

Herein above, only one load threshold in UL and DL, namely PrxThreshold and PtxThreshold have been described for use in connection with the proposed method. However, it is conceivable to use two thresholds. Namely, if two thresholds are used the thresholds, PrxThreshold_1 in uplink UL and PtxThreshold_1 in downlink DL, are used for early prevention of an overload situation, and the higher thresholds, PrxThreshoid_2 in uplink UL and PtxThreshold_2 in downlink DL, are the actual overload limits above which the system is in overload. For example in uplink UL overload situation, a slight increase in fractional load will increase the interference power a lot and thus the system capacity is lowered. When the system operates in feasible load area, the system capacity is not remarkable sensible to changes in fractional load. The idea behind two thresholds would be an improved prevention of overload. The use of only one threshold is, however, more easy to handle.

In case of two thresholds in UL and DL, respectively, the load increase above lower threshold PrxThreshold_1 in uplink UL and PtxThreshold_1 in downlink DL, will trigger the first load control actions (mainly additional inter-frequency handover measurements just for load control

needs and fast power reduction of NRT-users based on decisions as taken by the load control means of a respective base station BS. The "normal" load control actions as taken by the load control means of the radio network controller RNC are conducted only if the monitored load exceeds the bigger threshold: PrxThreshold_2 in uplink UL and PtxThreshold_2 in downlink DL.

The advantage of having only one threshold is obviously the simplicity when compared to two-threshold case, while this is nevertheless feasible if desired.

Furthermore, it is conceivable to make use of inter-frequency handover to another carrier. If some other layer of the cell is not so loaded as the cell concerned, the load control means LC of the radio network controller RNC could "move" some users into that frequency using handover control. This will stabilize the load of different layers.

Also, reduction of Eb/No targets could be used in uplink UL load control. This is basically quite easy to carry out because both load control means LC and means for outer loop power control PC are located in the radio network controller RNC. However, right now the combination of overwriting/modifying transmit power control commands (TPC commands) by the load control means LC of a base station BS and reduction of bit rates by the load control means LC of the radio network controller RNC is considered to be adequate and an additional reduction of Eb/No targets could result in some "confusion".

Using the load control means LC of a base station BS could be done in a centralized fashion, in that the base station control unit BCU selects the users (the code channels) whose TPC commands are to be modified, or could be done in

a distributed fashion, in that each channel element independently uses the same method to modify TPC commands in case of overload. The former method requires quite much signaling in the base station BS but could be handy if priorities are taken into account. However the different priorities can be incorporated into fast closed power control methods used in each channel element without any intervention of a base station control unit BCU. The proposed method mainly focuses on the use of a distributed method because of simplicity and much easier implementation.

The limitation of the maximum connection based DL transmission power of NRT-users in case of PtxTotal>PtxTarget could also be influenced. This could mean, for example, that if PtxTotal is above PtxTarget, the maximum transmission power of the connection is reduced by 5dB.

H) ANNEX

All of the above mentioned powers used for load control, like e.g. PrxTotal, PrxTarget and PrxThreshold (for UL), are noise rises, i.e. wideband interference powers over system noise (power divided by system noise). This annex defines relationships between the used parameters.

- Other to own cell interference ratio i:

i =Prx_oth / Prx_own

=(PrxTotal-Prx_own-P_N)/Prx_own

- Noise rise NR:

NR = PrxTotal/P_N = ((1+i)Prx_own / P_N) +1

$$= (1+i) \sum_{i=1}^{M} [\mathrm{PrxTotal} / (\mathrm{P\_N} * (1+(W/\rho_i\, R_i)))] + 1$$

= (1+i)Luplink * (PrxTotal/P_N) + 1,

with M being the number of users, W being the bandwidth, $\rho$ being Eb/N0, R representing the bit rate, Luplink representing the total uplink load factor of a sector and P_N being the system noise

$$NR = PrxTotal / P\_N$$

$$= 1 / [\ 1 - (1+i) \sum_{i=1}^{M} 1/(1+(W/\rho_i\ R_i)) = 1 / (1-\eta)$$

- Fractional Load:

$$\eta = (1+i) \sum_{i=1}^{M} 1/(1+(W/\rho_i\ R_i)) = 1 - (1/NR) = (NR-1)/NR$$

- Pure interference power over noise:

$$Prx\_interference = (PrxTotal - P\_N)/P\_N = (1/(1-\eta))-1$$

$$= \eta/(1-\eta)$$

The load control means of the radio network controller RNC uses an uplink power estimation means when deciding the bit rates of which connections and how much are to be reduced in order to overcome the overload situation.

Some reasonable values for PrxTarget would be 3.5dB and for PrxThreshold 5dB. The respective fractional loads are then 0,55 and 0.68. So when fractional load increases 22% from 0.55 to 0.68, the total interference level will increase 41% from 3.5dB to 5dB. This fact emphasizes the importance of the load control method. Simple conversion table between noise rise and fractional load and the derivative of noise rise with respect to fractional load are presented in the following table.

<u>Table: Noise rise - fractional load mapping</u>

| Noise rise | Fractional Load | d(noise rise)/ d(fractional load |
|---|---|---|
| 0 dB | 0 | |
| 3 dB | 0.5 | 6 dB |
| 4 dB | 0.6 | 8 dB |
| 5 dB | 0.68 | 10 dB |
| 6 dB | 0.75 | 12 dB |
| 7 dB | 0.8 | 14 dB |
| 8 dB | 0.84 | 16 dB |
| 9 dB | 0.87 | 18 dB |
| 10 dB | 0.9 | 20 dB |
| 20 dB | 0.99 | 40 dB |

A way of calculating uplink power increase estimate is to use the derivative of noise rise with respect to fractional load as follows:

$$NR = \frac{P_{rx\_total}}{P_N} = \frac{1}{1-(1+i)\sum_{i=1}^{M}\frac{1}{1+\frac{W}{\rho_i R_i}}} = \frac{1}{1-\eta} \Rightarrow \eta = \frac{NR-1}{NR}$$

$$\Rightarrow \frac{dNR}{d\eta} = \frac{1}{(1-\eta)^2} = \frac{1}{\left(1-\frac{NR-1}{NR}\right)^2} = NR^2$$

$$\Rightarrow \Delta P_{rx\_total} = \frac{dNR}{d\eta}\Delta L = NR^2 \Delta L$$

This is very much inline with old method, which was

$$\Delta P_{rx\_total} = \frac{\Delta L}{1-\eta-\Delta L} NR = \frac{\Delta L}{1-\Delta L-\frac{NR-1}{NR}} NR = \frac{NR^2 \Delta L}{1-NR\Delta L} \approx NR^2 \Delta L.$$

The present invention proposes a method for traffic load control in a telecommunication network consisting of at least one radio terminal and at least one radio transceiver

device, each radio transceiver device defining a cell of said network being controlled by a network control device; comprising the steps of setting a first reference load value for the load of a respective cell; monitoring the load of said respective cell, and in response to the load exceeding the first reference load value, manipulating the power control to decrease the transmission power levels in the cell. The present invention thus proposes a fast load control method in that during a situation in which a certain reference load value is exceeded, the load is controlled per base station sector by manipulating power control, e.g. transmit power commands. In addition, such load reductions can be supplemented by re-negotiating bit rates, for example. With the proposed method a necessary load margin can be reduced which advantageously increases the system capacity.

It should be understood that the above description and accompanying drawings are only intending to illustrate the present invention by way of example. Thus, the preferred embodiments of the invention may vary within the scope of the attached claims.

CLAIMS

1. A method for traffic load control in a telecommunication network consisting of at least one radio terminal (MS) and at least one radio transceiver device (BS), each radio transceiver device (BS) defining a cell of said network being controlled by a network control device (RNC); comprising the steps of:

setting a first reference load value for the load of a respective cell;

monitoring the load of said respective cell, and

in response to the load exceeding the first reference load value, manipulating the power control to decrease the transmission power levels in the cell.

2. A method according to claim 1, characterized in that

the power control is manipulated by manipulating power control messages sent between the power control entities in the network and the radio terminal.

3. A method according to claim 1, characterized by

setting a second reference load value, which is greater or equal than the first reference load value.

4. A method according to claim 1, characterized in that

said monitoring is effected periodically.

5. A method according to claim 4, characterized in that

a period for monitoring is shorter during the time when said monitored load indication parameter exceeds said first reference load value than otherwise.

6. A method according to claim 1, characterized in that

said traffic has a non-real time (NRT) traffic component and a real time (RT) traffic component.

7. A method according to claim 3, characterized in that in response to the load exceeding the second reference load value, in addition, negotiations to decrease the connection parameters such as the bit rate for at least one connection are started.

8. A method according to claim 3 or 7, characterized in that
in response to the load exceeding the second reference load value, in addition, procedures for removing at least one connection from the cell are started.

9. A method according to claim 8, characterized in that the connection is removed from the cell by handing it over to another cell.

10. A method according to claim 8, characterized in that the connection is removed from the cell by terminating the connection.

11. A radio transceiver device (BS) of a telecommunication network, adapted to carry out the method according to any of the preceding claims 1 to 6.

12. A telecommunication network control element (RNC), adapted to carry out the method according to any of the preceding claims 7 to 10.

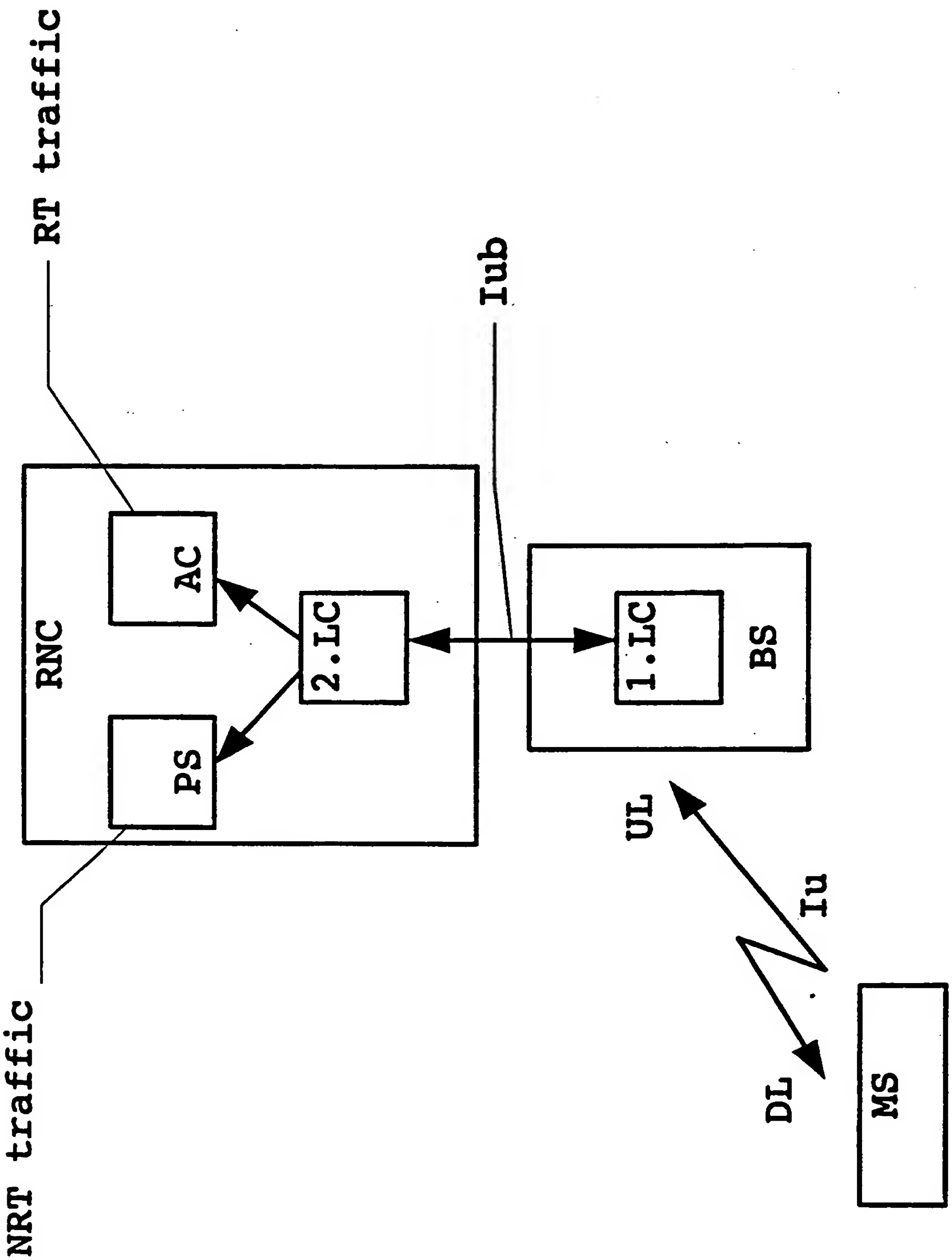


FIG. 1

FIG. 2

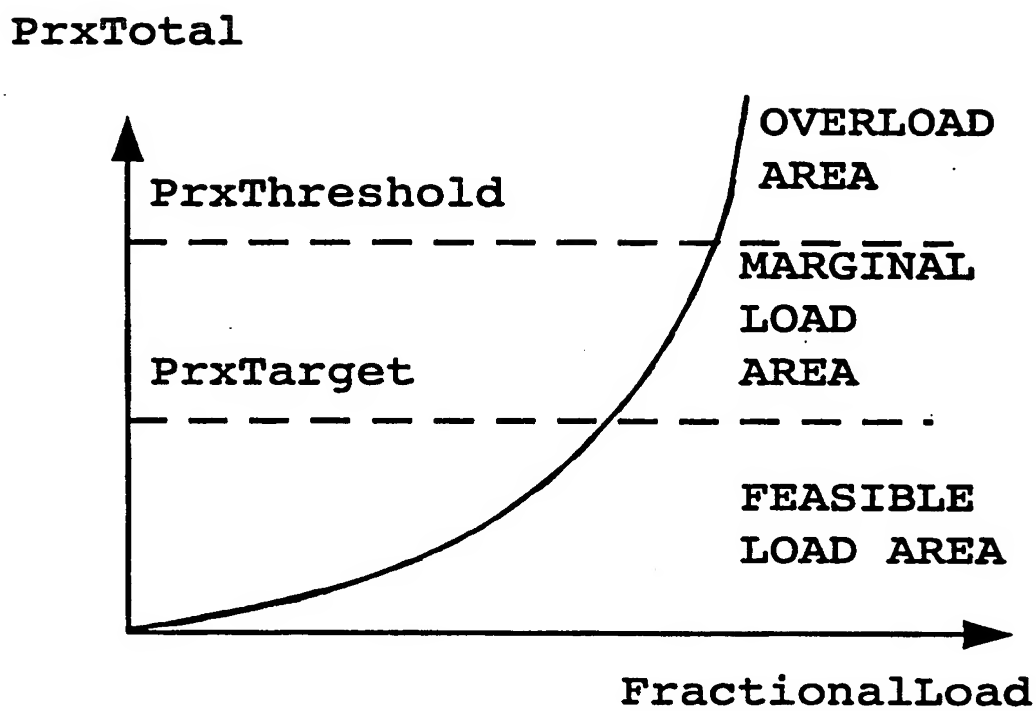
PrxTotal
OVERLOAD AREA
PrxThreshold
MARGINAL LOAD AREA
PrxTarget
FEASIBLE LOAD AREA
FractionalLoad

FIG. 3A

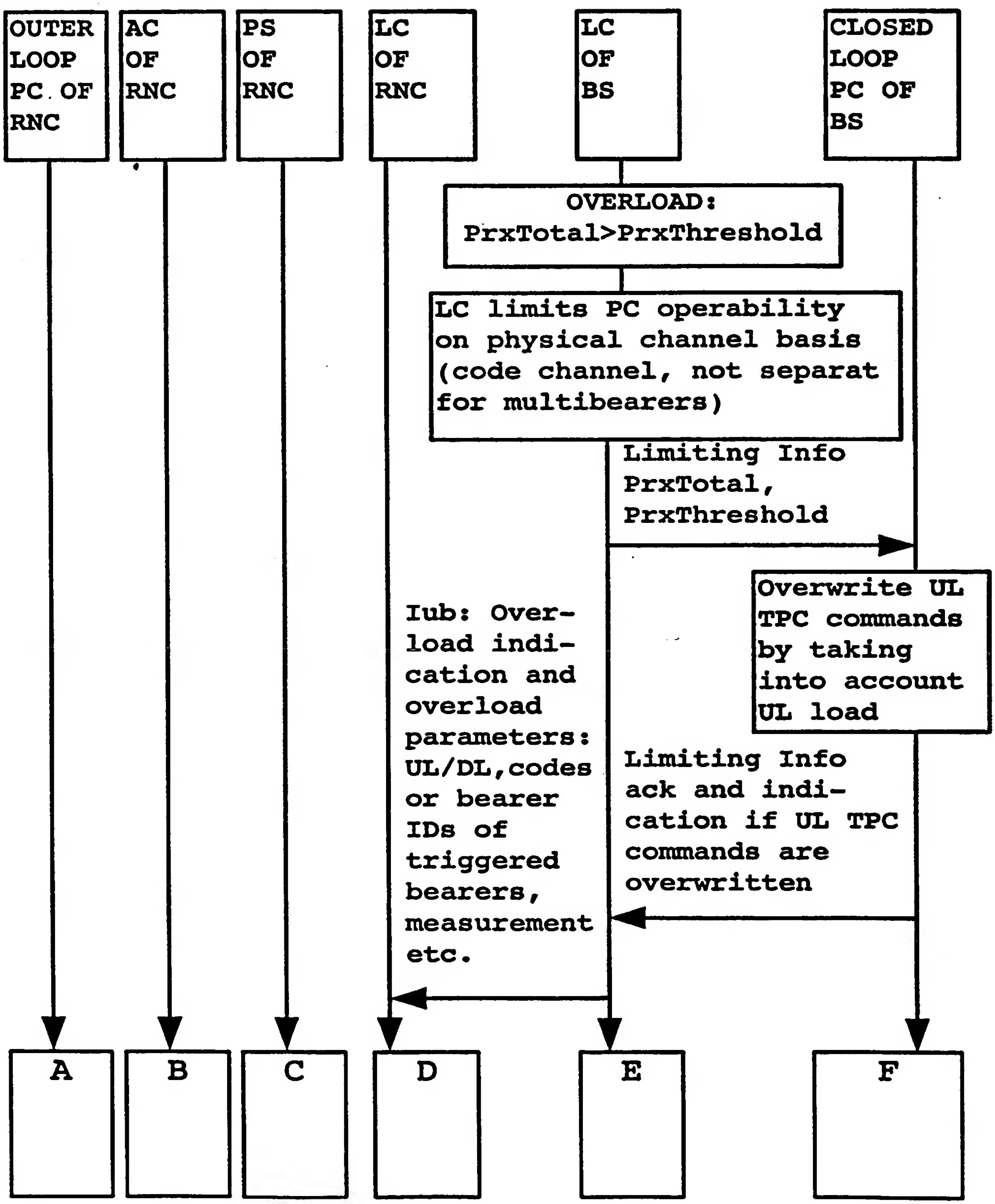
OUTER LOOP PC OF RNC
AC OF RNC
PS OF RNC
LC OF RNC
LC OF BS
CLOSED LOOP PC OF BS
OVERLOAD: PrxTotal>PrxThreshold
LC limits PC operability on physical channel basis (code channel, not separat for multibearers)
Limiting Info PrxTotal, PrxThreshold
Overwrite UL TPC commands by taking into account UL load
Iub: Over-load indi-cation and overload parameters: UL/DL,codes or bearer IDs of triggered bearers, measurement etc.
Limiting Info ack and indi-cation if UL TPC commands are overwritten
A
B
C
D
E
F

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FIG. 3B

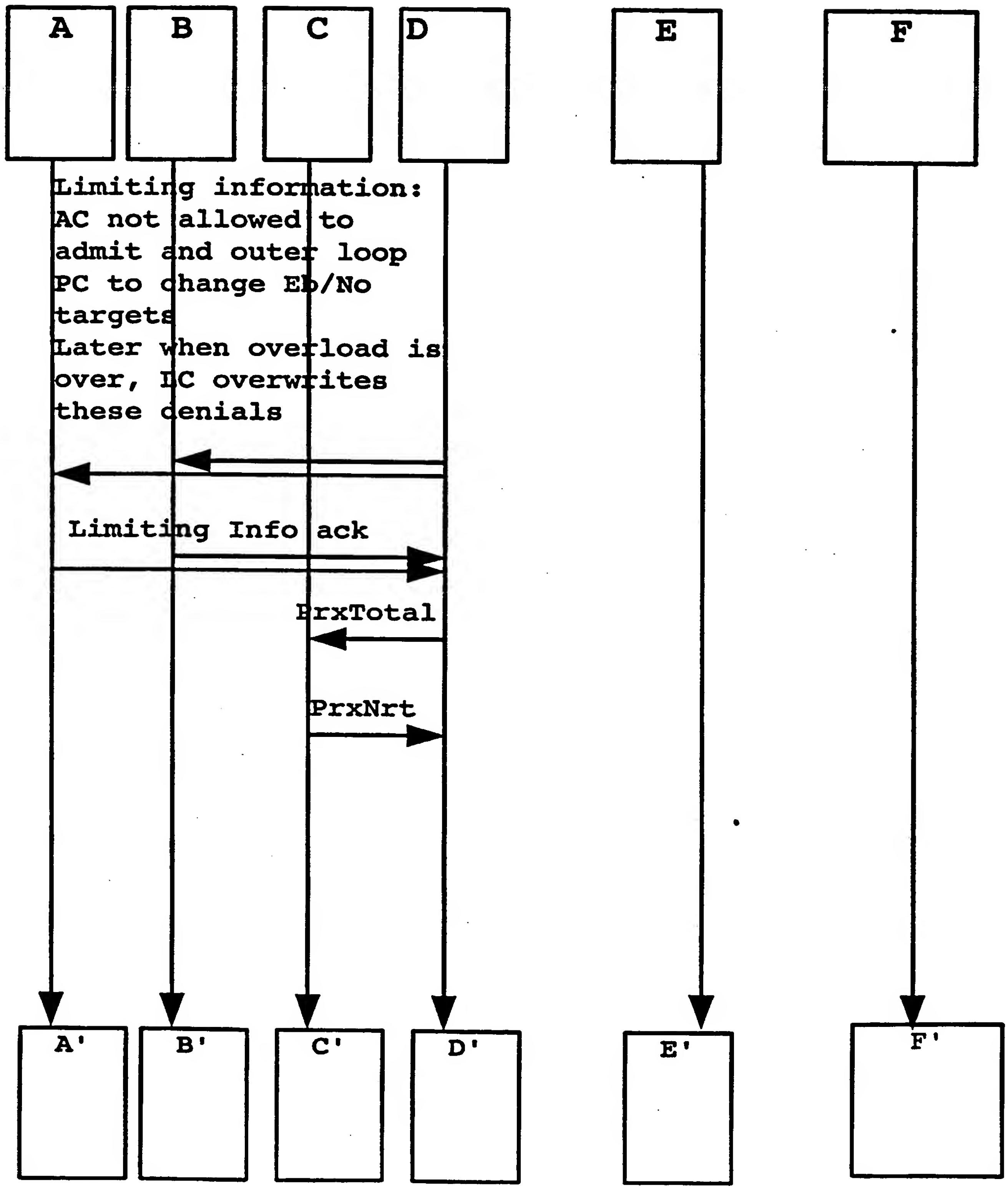
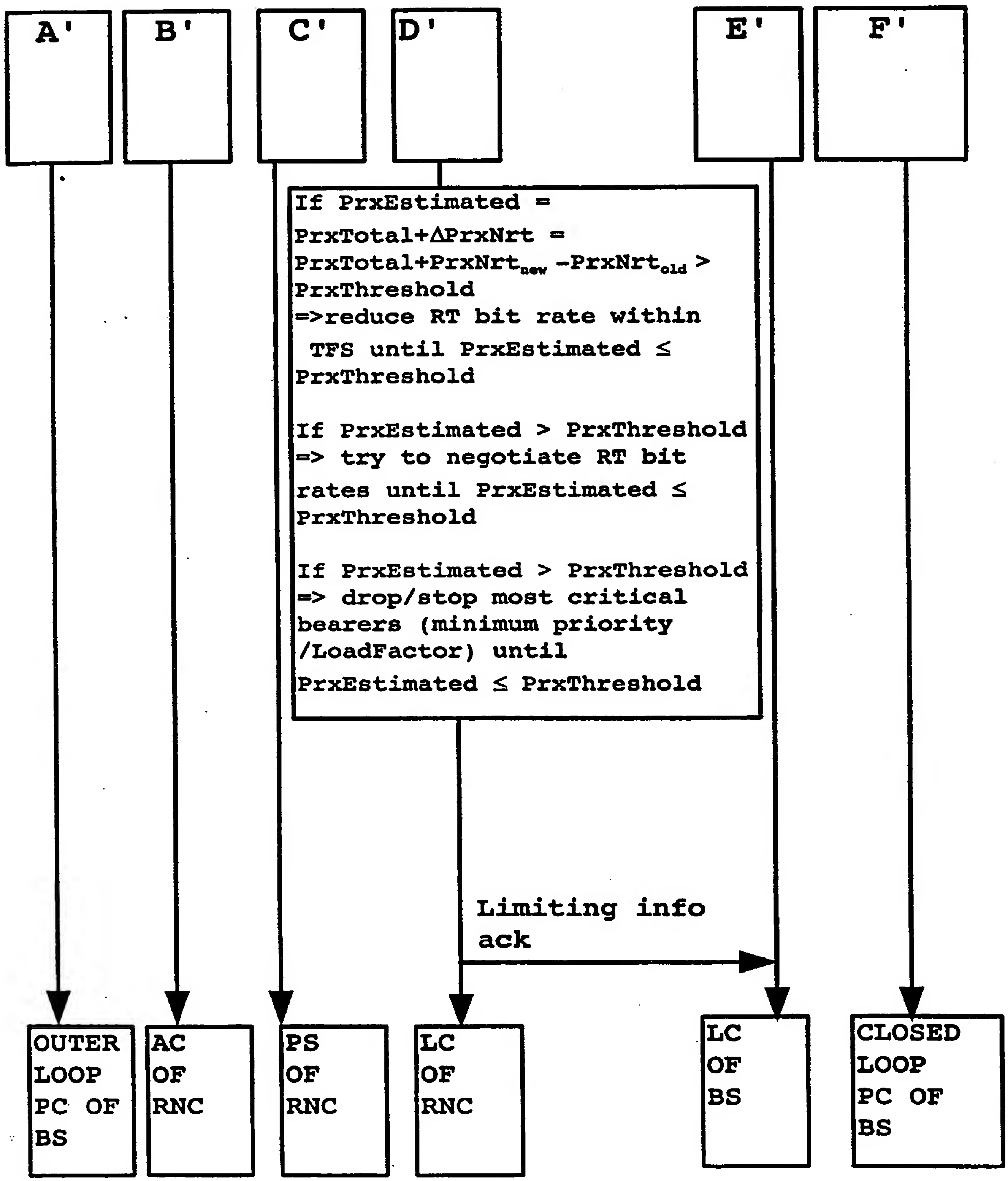

A
B
C
D
E
F
Limiting information:
AC not allowed to
admit and outer loop
PC to change Eb/No
targets
Later when overload is
over, DC overwrites
these denials
Limiting Info ack
PrxTotal
PrxNrt
A'
B'
C'
D'
E'
F'

FIG. 3C



A'
B'
C'
D'
E'
F'
If PrxEstimated = PrxTotal+ΔPrxNrt = PrxTotal+PrxNrt$_{new}$ -PrxNrt$_{old}$ > PrxThreshold =>reduce RT bit rate within TFS until PrxEstimated ≤ PrxThreshold
If PrxEstimated > PrxThreshold => try to negotiate RT bit rates until PrxEstimated ≤ PrxThreshold
If PrxEstimated > PrxThreshold => drop/stop most critical bearers (minimum priority /LoadFactor) until PrxEstimated ≤ PrxThreshold
Limiting info ack
OUTER LOOP PC OF BS
AC OF RNC
PS OF RNC
LC OF RNC
LC OF BS
CLOSED LOOP PC OF BS

# INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP 98/08321

**A. CLASSIFICATION OF SUBJECT MATTER**
IPC 7 H04B7/005

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H04B H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

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| X | US 4 435 840 A (KOJIMA JUNICHIROH ET AL) 6 March 1984 (1984-03-06) column 4, line 15 - column 13, line 3 | 1-4,8-12 |
| X | WO 97 08847 A (NOKIA TELECOMMUNICATIONS OY ;HAEKKINEN HANNU (FI)) 6 March 1997 (1997-03-06) page 7, line 30 - page 14, line 15 | 1,2,4 |
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[X] Further documents are listed in the continuation of box C.

[X] Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

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"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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"&" document member of the same patent family

| Date of the actual completion of the international search | Date of mailing of the international search report |
|---|---|
| 20 August 1999 | 26/08/1999 |

| Name and mailing address of the ISA | Authorized officer |
|---|---|
| European Patent Office, P.B. 5818 Patentlaan 2<br>NL - 2280 HV Rijswijk<br>Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,<br>Fax: (+31-70) 340-3016 | Roberti, V |

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# INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP 98/08321

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

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